NO_x Storage—Reduction Catalysis: From Mechanism and Materials Properties to Storage—Reduction Performance

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1. Introduction

Vehicular engines operating under lean burn conditions are becoming more popular than conventional Otto gasoline engines, running at a chemically correct (stoichiometric) air to fuel ratio (\approx 14.7:1), mainly because of their higher fuel efficiency and thus lower CO₂ emission. However, the toxic NO_x exhaust gas components of lean-burn engines, which operate at air to fuel ratios higher than stoichiometric

(>14.7:1), cannot be efficiently removed with the classical three-way catalyst, because NO_x reduction with this system is not efficient under lean operating conditions. Figure 1 illustrates the different sources of NO_x in the U.S. and in European countries.^{1,2}

The NO_x emitted from the exhausts have manyfold devastating effects on the atmosphere and ecosystems (such as ozone layer depletion and acid rain) and also on human health. As emerges from Figure 1, NO_x emission from mobile sources contributes almost half of all NO_x produced, and consequently, rigorous legislation was introduced for vehicular emission. Amendments to the U.S. Federal Clean Air Act in 1990 set two standards (Tire 1 and 2) for light duty vehicles. Tire 1 standards were published as a final rule on June 1991 as standards and phased in progressively between 1994 and 1997, and Tire 2 standards were adopted in December 1999 and implemented from 2004 to 2009. California has the most stringent emission regulations, and the regulation Low Emission Vehicles II became effective in 2004. Figure 2a portrays the emission limits of Tire 1 and California Ultra Low Emission Vehicles II.3 The European Union has the fourth lowest level of emission limits for gasoline and diesel powered passenger cars in effect from 2005 onward, and recently they have approved Euro V (effective from 2009 to 2011) and Euro VI (effective from 2014 to 2015) regulations, under which NO_x emission standards are more stringent (0.175 and 0.08 g km⁻¹ for Euro V and Euro VI, respectively) (Figure 2b).⁴

To meet the criteria of changing stringent emission limits, engineers, catalysis researchers, and environmental scientists are challenged to develop more efficient engines and better deNO_x techniques. Under the lean operating conditions, the traditional three-way catalysts are ineffective for NO_x treatment and it is a challenge for researchers to remove NO_x from this oxidizing environment. NO_x can be removed from lean-burn exhaust mainly by three ways: (i) direct decomposition of NO; (ii) selective catalytic reduction (SCR); and (iii) NO_x storage and reduction (NSR). The first one occurs without any reductant. Although direct decomposition of NO is thermodynamically favorable (NO $\rightarrow \frac{1}{2}N_2 + \frac{1}{2}O_2$; $\Delta H^{\circ}_{298} = -86.6 \text{ kJ/mol}$), the reaction possesses a relatively high activation energy. Cu-Zeolite is so far the best catalyst for NO_x decomposition, but its activity is very poor.^{6,7} The second process, SCR, is selectively reducing NO with the reductant (like H₂, hydrocarbons (HC) and NH₃/urea) in the presence of excess oxygen. Problems inherent to HC-SCR are the low activity, narrow temperature window, and insufficient durability. 8,9 On the other hand, urea/NH₃-SCR is a promising alternative in deNO_x catalysis and is preferred for heavy-duty vehicles. 10,11 The adaption of the SCR

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technology to diesel engines results in a complex exhaust aftertreatment system consisting of a diesel oxidation catalyst, a NH₃ dosing unit, the SCR catalyst, and finally, a NH₃ oxidation catalyst. For the NH₃ production, urea is usually stored in an additional tank and hydrolyzed after injection into the exhaust pipe. DaimlerChrysler since 2005 has commercialized the urea-SCR based aftertreatment system for meeting the upcoming stringent EPA 2010 and Euro V (2009) emission standards for heavy-duty trucks under the trade name of BlueTec technology. However, carrying urea as an NH₃ source on board is problematic and adding external reductant is not cost-effective. A practical aftertreatment technology for lean burn and diesel engines is NSR, which is becoming the most popular lean-NO_x abatement technology and the catalysis technology of choice. The corresponding catalysts are also known as lean NO_x trap (LNT) or NO_x adsorber catalysts (NAC).

The development and advancement of a catalysis technology depend on the synthesis and characterization of efficient catalytic materials, understanding of the reaction mechanism. and finally proper engineering of the catalytic system. There are a few reviews on NSR, where some aspects of either the overall mechanism or specific features such as sulfur poisoning or thermal deterioration of the catalyst were reported, but only limited effort has been made to relate the materials' properties to the catalytic mechanism and performance. 12-16 To our knowledge, there exists presently no comprehensive review on NO_x storage-reduction catalysis, 17 where the importance of the material properties of the



Alfons Baiker (1945) studied chemical engineering at ETH Zurich and earned his Ph.D. degree in 1974. After several postdoctoral stays at different universities, he finished his habilitation at Stanford University (California) and returned to ETH in 1980, where he started his own research group focusing on heterogeneous catalysis and reaction engineering at the Department of Chemistry and Applied Biosciences. He moved up the ranks to become Full Professor in 1990. His research interests, documented in more than 770 publications in refereed journals and numerous patents, are centered around catalyst design and novel catalytic materials, mechanisms, and kinetics of catalytic surface processes, asymmetric hydrogenation, selective oxidation, environmental catalysis, chiral surfaces, in situ spectroscopy, and the application of supercritical fluids in catalysis. He has supervised over 100 Ph.D. students and 70 postdoctoral fellows. His goal is to further the scientific basis needed for developing environmentally benign chemical processes which make optimal use of raw materials and energy.

solid catalyst, such as chemical composition, structure, and morphology have been elucidated and related with the mechanism and performance of the storage-reduction process. In this review, at first, a brief overview is provided on the general features and mechanism of NSR catalysts (sections 2 and 3). Later on, in section 4 we thoroughly discuss the role played by the noble metals, storage components, and support oxides in the reaction mechanism. Critical issues such as the local distribution of the red-ox sites and storage components, the role of chemical and structural promoters, and also the importance of the different preparation method of the catalyst will be addressed. The different NSR paths depending on the feed gas composition, the theoretical approach toward evaluation of the mechanism, and reaction engineering aspects such as the influence of different reactor configuration are considered in sections 5, 6, and 7, respectively. Finally, major conclusions are drawn and research needs and some open questions will be addressed.

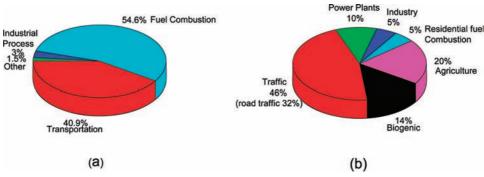


Figure 1. Illustration of emission of NO_x by source category in (a) the USA and (b) European Countries. Redrawn with permission from ref 1. Copyright 2006 American Chemical Society.

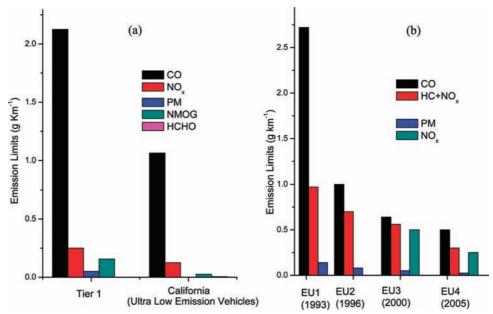


Figure 2. Emission limits for diesel-powered passenger cars in (a) the USA and California and (b) Europe [PM = particulate matter, NMOG = nonmethane-organic-gases, HCHO = formaldehyde].

2. General Features of NSR Catalysts

NO_x storage—reduction catalysts work under cyclic conditions of fuel lean and fuel rich environments. Toyota laboratories brought up this concept of NSR in the mid-1990s. 18-20 Alternating lean/rich conditions are employed during normal driving. Under lean conditions of engines, when oxygen is in excess (i.e., $\lambda > 1$; λ is an alternative way to define the air to fuel ratio during combustion, at stoichiometric conditions of engine $\lambda = 1$, corresponding to an air to fuel ratio of 14.7:1), NO_x is adsorbed on the catalyst, and under rich conditions, when the reductants evolve (λ < 1), NO_x reduction takes place. Consequently, in principle, an NSR catalyst should have sites for NO_x sorption (alkali metal or alkaline earth metal compounds) and sites for NO_x oxidation/reduction (noble metals).²¹ Many of the literature studies have used Ba-based storage materials.^{22–24} Generally, the Ba-loading varies from 8 to 20%. Other alkali metals such as Na and K or alkaline earth metals such as Mg, Sr, and Ca are also used. 13,25-27 Thermodynamic evaluation and reaction data demonstrate that the basicity of the alkali/alkaline earth metal components is directly related to the NO_x trapping performance, and thus, the storage component performance was found to decrease in the following order when testing was performed at 350 °C; $K > Ba > Sr \ge Na > Ca > Li \ge Mg.^{13,28}$ The precious metals are used generally in a very low amount: 1-2% (w/w). Pt, ¹³ Pd,²⁹ and Rh^{29,30} are commonly in use as NSR catalysts. Besides several other functions (steam reforming or partial oxidation of hydrocarbons, water gas shift, CO oxidation), which will be addressed in section 5, these precious metals are mainly involved in two important steps of the NSR mechanism, one is the oxidation of NO under lean conditions and the other is the reduction of the stored NO_x under rich conditions. Generally, it has been reported in the literature that Pt is a good catalyst for NO oxidation, whereas Pd or Rh show higher NO_x reducing activity.¹³ Bimetallic NSR catalysts such as Pd/Rh and Pt/Rh are also applied. 26,31,32 The alkaline earth metal oxides and the noble metals are generally dispersed over high surface area carriers. These supports could be like single oxides (Al₂O₃, ZrO₂, CeO₂, MgO) or

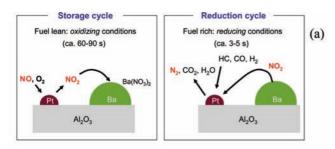
mixed metal oxides (MgO-CeO₂, MgO-Al₂O₃). These materials not only have high surface area or large pore volume to disperse the noble and alkali/alkaline earth metals; they also play a role in the adsorption of NO_x, as indicated in several reports. Later we will discuss the role of each functional component in detail (section 4). One of the common NSR catalyst formulations used is Pt-Ba/Al₂O₃. ^{13,33} We will discuss the mechanism of this particular catalyst in the following section.

3. Mechanistic Views

The chemistry and mechanism occurring during lean and rich periods reported in the literature show overall similar common features. Nevertheless, there exist some divergent views and apparently opposite conclusions regarding the NSR mechanism, which may be due to the partly different experimental conditions applied and variations in catalytic materials prepared by different synthetic routes. For example, the rich regeneration time is a crucial parameter. Storage periods of 1-2 min followed by rich spikes of 3-5 s are typically used in real systems; some researchers practice short regeneration periods;^{34–36} however, there are lots of studies regarding long rich regeneration periods compared to those of the real engines. 31,37,38 The exhaust gas composition (different reducing agents such as H₂, CO, and HCs) plays a significant role in the NSR mechanism.^{29,39} The presence of CO₂ and water is also a realistic consideration during the rich period.

The NSR mechanism is generally assumed to be a five step mechanism (as depicted in Figure 3):

- (a) NO oxidation to NO₂ ($\lambda > 1$);
- (b) acidic NO_x sorption as nitrites or nitrates on the basic adsorption sites ($\lambda > 1$);
- (c) reductants (such as hydrocarbons, CO, or H₂) evolution $(\lambda < 1)$;
- (d) NO_x release from the surface ($\lambda < 1$);
- (e) NO_x reduction to N₂ (λ < 1).



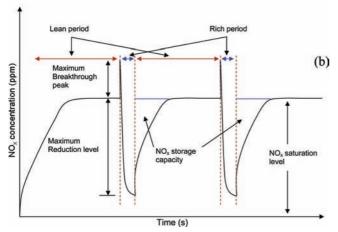


Figure 3. NO_x storage and reduction: (a) pictorial representation of the mechanism; (b) illustrative sketch of lean and rich cycles.

3.1. NO Oxidation

NSR trapping materials are generally more effective in adsorbing NO₂ than NO.40 Therefore, NO₂ formation is a beneficial precursor step to adsorption, and this $NO \rightarrow NO_2$ oxidation, which takes place primarily over the precious metals, is a significant step in the overall mechanism. At lower temperatures, kinetic limitations prevent the NO to NO₂ oxidation from reaching equilibrium, while, above 350 °C, the thermodynamic equilibrium is normally reached. 13,41 Olsson et al. suggested that NO oxidation over Pt-BaO/ Al₂O₃ follows the Langmuir-Hinshelwood model and the presence of BaO decreases the rate of NO oxidation compared to that of Ba free catalyst.⁴² However, other investigations have found NO oxidation over supported Pt catalysts to follow an Eley-Rideal type mechanism involving dissociative adsorption of O2 and reaction of NO from the gas phase.⁴³ NO oxidation over fresh Pt supported on Al₂O₃ was found to be first order with respect to NO and O_2 (for aged catalyst, the order was 0.7 with respect to O2) and negative first order with respect to NO2 with an apparent activation energy of $81.8 \pm 5 \text{ kJ mol}^{-1}.44$

3.2. NO_x Sorption

Much has been published in the early age of NSR on NO_x storage. ^{19,41,45} Typical NSR formulations are able to accomplish effective removal in a wide operating window. It is believed that NO_x sorption is a sequential process; at the beginning, nitrite is formed followed by nitrate formation. Fridell and co-workers proposed that the storage process involves a three-step mechanism in which NO₂ is at first loosely adsorbed on BaO as a BaO-NO₂ species; this species then decomposes to BaO₂ and NO (which is released to the gas phase), and finally Ba peroxide reacts with the gas-phase NO₂ to give Ba nitrate. ⁴¹

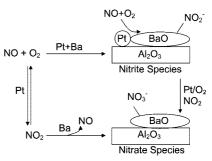


Figure 4. Two different pathways for NO_x oxidation and subsequent adsorption on $Pt-Ba/Al_2O_3$ catalyst. Redrawn with permission from ref 33. Copyright 2006 Elsevier B.V.

$$BaO + NO_2 \rightarrow BaO - NO_2 \tag{1}$$

$$BaO-NO_2 \rightarrow BaO_2 + NO$$
 (2)

$$BaO_2 + 2NO_2 \rightarrow Ba(NO_3)_2$$
 (3)

Forzatti et al. have shown that, over Pt-Ba/Al₂O₃, NO in the presence of excess oxygen proceeds through two parallel routes as shown in Figure 4:^{33,46,47} (i) the "nitrate route", where NO is oxidized to NO₂ on Pt sites followed by NO₂ spillover on Ba sites to form Ba-nitrates with evolution of gaseous NO; (ii) the "nitrite route", where NO is oxidized on Pt sites and directly stored on Ba neighboring sites in the form of nitrites that are then oxidized to nitrates. The routes changed with the loading of Ba; with higher Ba loading the nitrite route was dominating. This was explained by the close contact of Pt and Ba sites; with increasing Ba loading, the Pt to Ba contacts were more, which lead to predominance of the "nitrite" route. In section 4, the importance of the proximity of Pt and Ba sites will be discussed thoroughly.

The kinetics of NO₂ adsorption over Pt-Ba/Al₂O₃ with the help of mean field model and flow reactor experiments has been successfully explained with the combination of the kinetic model for NO oxidation on Pt-BaO/Al₂O₃ and the model for NO_x storage on BaO/Al₂O₃. 42,48,49 But the model itself did not describe the experimental finding of NO_x desorption at low temperature in the presence of Pt. This was explained by considering the mobility or the forward and reversible spillover of NO₂ between Pt and BaO, which was also supported by the calculated entropy changes. This indicated the importance of the proximity of Pt and Ba sites as well as the importance of spillover and reverse spillover phenomena. $^{50-53}$ Reaction 2 accounts for NO slip during NO_x sorption; Kwak et al. in this regard established a different mechanism. They showed that, at the beginning of the NO_x uptake, this spillover process is very fast and provided complete NO_x storage. However, the NO_x uptake goes down when BaO in the vicinity of Pt particles is converted to $Ba(NO_3)_2$. The formation of $Ba(NO_3)_2$ around the Pt particles results in the development of a diffusion barrier for NO₂ and increases the probability of NO2 desorption and, consequently, the beginning of NO_x slip.⁵³

3.3. NO Reduction

The engine periodically shifts to lean/rich conditions. Under rich conditions, the reductants are introduced and the trapping ability of the NSR catalyst is regenerated by reduction of the trapped NO_x . The reductants are generally H_2 , CO, or hydrocarbons (e.g., C_3H_6 or C_3H_8). The NO_x reduction largely depends on the reductants introduced. There

are three general methods of introduction of reductants.¹³ In the first category, fuel is directly injected into the exhaust. The injected fuel on reaction with NSR catalyst (steam reforming, partial oxidation of hydrocarbons, water gas shift reaction) produces reductants. In the second category, fuel is continuously or periodically processed over an upstream reformer or partial oxidation catalyst, which would result in H₂, CO, and/or smaller hydrocarbon species production. In the third category, intermittent pulses of rich gas from the engines are exhausted to the NSR catalyst and these gases either directly contain the reductant or are processed by the NSR catalyst or an upstream catalyst to form the reductant.

In the regeneration process, the trapped NO_x is released and eventually reduced. As the release and the reduction take place in a very short time, it is difficult to analyze the two steps distinctly. According to Epling et al., there could be two primary driving forces to release NO_x from the surface.¹³ First, due to the exothermic oxidation reactions, nitrite and nitrate stability decreases, and with the subsequent decomposition, evolution of NO_x takes place with increasing temperature; second, NO_x is released as a result of the introduction of the reductants. Their further studies demonstrated that the NO_x release also depends on the rate of NO_x diffusion to the precious metal sites and on the rate of NO₃ reduction.³⁸ Depending on the temperature or the regeneration time, different nitrogen-containing byproducts have been observed. When the regeneration time was very small, evolution of NO was not complete and NO and NO₂ were observed in the outlet, whereas, at low temperature (200–300 °C) and long regeneration time, N2O and NH3 were detected due to reduction.38,54 Sakamoto et al. showed that between 230 and 310 °C rate constants for NO_x release and NO_x reduction were about the same; however, above 360 °C, the rate constant for NO_x release was higher than that of NO_x reduction.55

The stored NO_x is reduced by the reducing gas evolving under rich conditions of engines, which is actually a very short time of a few seconds. The reductants are generally H_2 , CO, or small or long chain hydrocarbons. Variation of reducing gases controls the product selectivity. ^{56,57} CO or hydrocarbons can reduce the trapped NO_x either directly in the storage component of the catalyst to form N_2 ,

$$Ba(NO_3)_2 + 5CO \rightarrow N_2 + BaO + 5CO_2 \qquad (4)$$

or indirectly by forming H_2 via the water gas shift (WGS) reaction, which eventually reduces NO_x . Similarly, hydrocarbons also can produce H_2 through a steam reforming reaction. H_2 is also present in the exhaust during rich engine operation. The $Ba(NO_3)_2$, which is formed during the lean cycle, reacts with the H_2 and forms the N_2 with the probable reaction stoichiometry:³³

$$Ba(NO_3)_2 + 5H_2 \rightarrow N_2 + BaO + 5H_2O$$
 (5)

The selectivity to appropriate products is an important aspect of NO reduction. With the expected N_2 , sometimes unselective products such as N_2O and NH_3 are also formed depending on the catalyst.³⁷

$$Ba(NO_3)_2 + 3H_2 \rightarrow BaO + 2NO + 3H_2O$$
 (6)

$$Ba(NO_3)_2 + 4H_2 \rightarrow BaO + N_2O + 4H_2O$$
 (7)

$$Ba(NO_3)_2 + 8H_2 \rightarrow BaO + 2NH_3 + 5H_2O$$
 (8)

Clayton et al. depicted the stored NO_x reduction by H_2 comprising five paths (Figure 5); *Path 1* is direct reduction

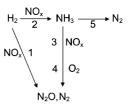


Figure 5. Reaction network comprising five steps during reduction of stored NO_x by H_2 . Reprinted with permission from ref 58. Copyright 2008 Elsevier B.V.

of NO_x to N_2 (reaction 5), *Path* 2 is reduction of NO_x to NH_3 (reaction 8), *Path* 3 is reduction of stored NO_x by NH_3 , *Path* 4 is oxidation of NH_3 to N_2 ($4NH_3 + 3O_2 \rightarrow 2N_2 + 6H_2O$), and *Path* 5 is decomposition of NH_3 to N_2 ($2NH_3 \rightarrow N_2 + 3H_2$).⁵⁸ It has been observed that H_2 is a better reductant compared to CO, which in turn is better than C_3H_6 and C_3H_8 .³⁷ The influence of the reducing gas composition on release and reduction of trapped NO_x over various catalysts will be discussed in detail later (section 5).

The above discussion summarizes an overall mechanism for NSR. Although numerous studies have been carried out with the standard catalyst formulation (Pt-Ba/Al₂O₃) to evaluate the mechanistic aspect, a practical problem remains, sulfur poisoning. 59-64 Sulfur poisoning of NSR catalysts can generally originate from two different pathways. SO₂ in the exhaust gas can be oxidized on precious metals and reacts with the support, forming aluminum sulfate, or SO_x reacts with the NO_x storage component to form barium sulfate. Because sulfates are more stable than nitrates, they deteriorate the NO_x adsorption, thus deactivating the catalyst. Kim et al. showed BaO, depending on its conditions of activation (i.e., oxidizing or reducing), can form BaSO₄ or BaSO₃, respectively, even in the absence of Pt.65 Pt-O bonds and the coordination environment of Pt also play an important role in promoting the formation of sulfate species. With the advancement of the review, we will explore the ongoing research toward desulfurization.

Important Catalyst Components and their Role in Storage and Reduction

4.1. Noble Metals

As noble metals play several key roles in the NO_x storage and reduction cycle as well as for sulfur deactivation and for regeneration from sulfur, it is of great interest to investigate their role in detail. In the following section, we will discuss the role of the noble metals in the context of: (i) NO oxidation under lean conditions, (ii) NO_x storage capacity under rich/lean conditions, (iii) NO reduction under rich conditions, and (iv) sulfur tolerance and regenerability. It would not be adequate to compare the role of the noble metals alone in the above-mentioned sections, because there are several reports which show that by changing the supports the catalytic properties of the noble metals may also change. For example, Huang et al. observed that Rh provides better NO_x storage ability for CaO/Al₂O₃ support compared to that of Pt due to higher formation of NO₂.66 However, several studies on Pt and Rh on Al₂O₃ showed that Rh/Al₂O₃ only can oxidize 30% of NO to NO₂.67,68 Therefore, we will mostly try to confine the following discussion on the role of noble metals in storage and reduction to the examples of different metals over the same support.

4.1.1. Platinum

Pt loading is generally in the range of 1-2 wt % with dispersion from 20% to 80%. 69-71 The turnover frequency (TOF) of NO oxidation to NO₂ becomes 100 times greater when the Pt dispersion decreases from 82 to 4% on an alumina support. 72 The intrinsic activity of NO reduction by hydrocarbons in an oxidizing environment was found to depend on the Pt particle size and consequently dispersion, which was attributed to the correlation between particle size and the redox properties or strength of the Pt-NO bond.⁷³ Kim et al. also established that Pt crystallite size plays a critical role in determining the NO_x storage activity (by Ostwald ripening and particle migration and coalescence, the Pt particles sintered in the oxidizing thermal treatment and deteriorated NO_x storage activity).⁷⁴ However, detailed investigations have not been carried out on the correlation between Pt dispersion and NSR activity. A combinatorial study concluded that NO oxidation over supported Pt catalyst depends on various factors and the relative order of the importance of the factors was as follows: support > pretreatment > loading > calcination atmosphere > calcination temperature > precursor salt.⁷⁵

NO pulsing experiments over Pt-BaO/Al2O3 in the absence of O2 demonstrated that NO decomposed on Pt and also oxidized to NO₂ via oxygen adatoms.⁷⁰

$$NO + Pt \rightarrow NO - Pt$$
 (9)

$$NO-Pt + Pt \rightarrow N-Pt + O-Pt$$
 (10)

$$NO-Pt + O-Pt \rightarrow NO_2 + 2Pt \tag{11}$$

Since NO was pulsed over the catalyst, the oxygen accumulation reduced the availability of vacant sites on Pt for NO adsorption or decomposition. But the close proximity of BaO to Pt sites promoted spillover of the oxygen adatoms from Pt to BaO, making the Pt sites free for NO.

$$O-Pt + BaO \rightarrow BaO_2 + Pt \tag{12}$$

Anderson et al. investigated the role of Pt in NO_x storage.⁷⁶ As Pt provided atomic oxygen, it facilitated the uptake of NO_x by Ba, resulting in increased NO_x storage in Pt-BaO/ Al₂O₃ compared to BaO/Al₂O₃. In situ FTIR investigations of the adsorption of NO over PtBaO/Al₂O₃ showed that, at 350 C, Pt catalyzed the Ba-nitrate and Al-nitrate formation from nitrite species.

$$Ba(NO_2)_2 + 2O - Pt \rightarrow Ba(NO_3)_2 + 2Pt$$
 (13)

A combined study of EXAFS and TPD showed the presence of two distinct kinds of Pt sites responsible for chemisorption of NO at low temperatures (site 1) and oxidation of NO at higher temperatures (site 2) on the surface of PtBa/Al₂O₃.⁷⁷

Under rich conditions, Pt activated the hydrocarbons, promoting the reduction of nitrate species.⁷⁸ Abdulhamid et al. prepared Pt-BaO/Al₂O₃ by an impregnation method on cordierite with a surface area of around 20 m²/g.^{29,37} Pt had a good H₂ activation ability,⁷⁹ and the stored NO_x was reduced at a low temperature of 150 °C. In another work, these authors showed that, when using CO as reducing agent, the interaction between NO₂ and Pt was suppressed due to strong interaction between CO and Pt, and therefore NO_x reduction was deactivated over this catalyst.80 The authors did not discuss the exact role of the materials or the nature of Pt sites in detail, but similar results were also observed by Szailer et al., who provided a detailed reaction mechanism based on FTIR and time-resolved X-ray diffraction studies.⁸¹

At low temperatures (<150 °C), in the presence of H₂ as a reductant, the activated H₂ reacted with the adsorbed O₂ on the Pt surface, forming H₂O and empty Pt sites, which in turn decomposed the adsorbed surface nitrates. When CO was used as a reductant at low temperature (<150 °C), NCO and CO₂ were formed; however, at higher temperatures (>300 $^{\circ}$ C), NCO directly reacted with the stored NO_x and formed N₂. Olsson and Fridell showed that during NO oxidation and NO₂ dissociation on Pt/Al₂O₃ or Pt-BaO/Al₂O₃, metallic Pt can be oxidized to Pt²⁺ (PtO) or Pt⁴⁺ (PtO₂) and the catalytic activity decreased.⁸² They also concluded that oxidation of Pt is less for Pt/Al₂O₃ compared to that of Pt-BaO/Al₂O₃, because Al₂O₃ is acidic in nature as well as electrophilic and the 5d band in Pt donated electron density to the support. Further, electrons are transferred from Pt to oxygen when platinum oxide is formed, and in the case of an acidic support, there was a lower electron density in Pt, which gave less platinum oxide. BaO, on the other hand, is alkaline and influenced platinum in the opposite way; thus, more platinum oxide was formed. Recently, it is believed to be a two-step reduction mechanism of stored NO_x with H₂ over Pt-Ba/ Al₂O₃, where NH₃ is an intermediate.^{58,71,83,84} At very low temperatures (<60 °C), the trapped NO_x is reduced by H₂ to form primarily NH₃ (reaction 8, $\Delta G^{\circ} = -900 \text{ kJ/mol}$), and at higher temperatures, the as-formed NH3 reduces the stored nitrates.

$$3Ba(NO_3)_2 + 10NH_3 \rightarrow 8N_2 + 3BaO + 15H_2O (\Delta G^\circ = -625 \text{ kJ/mol})$$
 (14)

The catalytic performance and the structural properties related to it are quite dependent on the nature of the metal precursor as well as on the synthetic route. Dawody et al. synthesized Pt-BaCO₃/Al₂O₃ from four different Pt precursors: H₂PtCl₆, $Pt(NH_3)_4(OH)_2$, $Pt(NH_3)_2(NO_2)_2$, and $Pt(NO_3)_2$. 85 Among these four catalysts, the one prepared from Pt(NO₃)₂ showed the highest NSR activity followed by the catalyst derived from Pt(NH₃)₄(OH)₂. Differences in catalytic activities were attributed to different interactions, such as electrostatic interaction and ion-exchange and ligand-exchange interactions between the Pt-precursor solution and the support, and will be discussed elaborately in a latter section (section 4.5). Pt-BaCO₃/Al₂O₃, when synthesized by the two-nozzle flame spray pyrolysis method, was composed of primary spherical particles of alumina and barium carbonate that were aggregated to larger particles. Platinum was dispersed on both types of primary particles, whereas the same catalyst synthesized by the conventional wet impregnation method showed BaCO₃ deposited on the alumina support, as can be seen from Figure 6.86,87 This difference in Pt dispersion and the stability of the BaCO₃ phase resulted in better NSR activity of flame spray pyrolysis synthesized Pt-BaCO₃/ Al₂O₃ compared to corresponding catalysts prepared by wet impregnation.

SO₂, which is a detrimental component in the exhaust, when it is introduced in the feed gas, changes the catalytic properties of Pt. Amberntsson et al. surprisingly observed that the presence of SO_2 enhanced the NO_x storage ability on Pt-BaO/Al₂O₃.³¹ Pt core level spectra of this catalyst had shown Pt in the zerovalent state. Introduction of SO₂ in the feed gas lowers the amount of Pt-oxide formation or reduces the Pt-oxides while forming Pt-sulfite or Pt-sulfate. 88 As we know from Olsson and Fridell's82 study that metallic Pt shows higher NSR activity, it can be concluded that introduction of SO₂ in the feed gas helped keep Pt in the

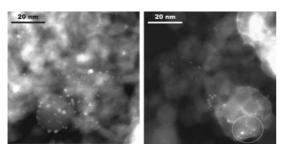


Figure 6. High-resolution STEM images showing Pt particles (bright spots) in wet impregnated Pt-BaCO₃/Al₂O₃ (left) and flame synthesized Pt-BaCO₃/Al₂O₃ (right). In the flame made catalyst (right), Pt particles are discernible on both Ba-containing (encircled) and Al₂O₃ particles. Reprinted with permission from ref. Copyright 2006 Elsevier Inc.

metallic state, which enhanced the NO_x storage due to higher NO oxidation activity.

Pt is mostly used by the researchers for NSR activity. From the above discussion it emerges that the loading, size distribution, and dispersion of the Pt particles play a significant role in the red/ox mechanism. However, other factors such as precursor salt, oxidation state of Pt, support interaction, and distance from the storage site of Pt have also been identified to be crucial factors for achieving high NSR activity.

4.1.2. Palladium

Recently, investigations have started using Pd as a metal of choice for NSR catalysis because of its manifold catalytic activities. 29,80,89 First of all, Pd exhibits high three-way catalytic activity (i.e. both oxidation and reduction reactions are catalyzed under stoichiometric conditions), and it has been reported that Pd works better than Pt and may be an important alternative to Pt in NSR catalysis.90-92 Pd also shows a good thermal stability, and the interconversion of the $Pd^{2+} \leftrightarrow Pd^0$ system is easier due to the lower redox potential of Pd²⁺/Pd⁰ compared to Pt²⁺/Pt, resulting in structural and catalytic activity changes under lean and rich conditions. 91,93 Also, Pd is less expensive compared to Pt and abundant among the precious metals. If carbon-containing reductants were used, Pd-BaCO₃/Al₂O₃ always was found to be a better NO_x reduction catalyst compared to its Pt counterpart.^{29,80} Salasc et al. carried out a comprehensive comparative investigation between the NSR activities of Pd-BaO/Al₂O₃ and Pt-BaO/Al₂O₃.⁸⁹ Both catalysts were synthesized by conventional impregnation methods on cordierite. At relatively low temperature (300 °C), Pd-BaO/Al₂O₃ had a higher NO_x storage capacity than Pt-BaO/Al₂O₃; that is, more NO_x was stored during the lean periods and almost all the NO_x was reduced during the subsequent rich periods. The core level XPS of Pd $(3d_{5/2})$ showed Pd²⁺ and Pd⁰ in lean and rich conditions, respectively, whereas Pt $(4f_{7/2})$ showed only metallic Pt under both reaction conditions. The better NSR activity of the Pd catalyst was attributed to the easier convertibility of $Pd^{2+} \leftrightarrow Pd^{0}$. Transient reactor and in situ FTIR studies using C₃H₆ as a reductant over Pd-BaO/ Al₂O₃ showed a higher NSR catalytic activity compared to Pt-BaO/Al₂O₃.94 Between 250 and 375 °C, the Pd catalyst showed higher overall NO_x reduction efficiency due to (i) higher activity for the oxidation/activation of propylene and (ii) higher activity for the formation of surface nitrite species. The interaction of the noble metal with the storage component and support oxide also plays a crucial role for the catalytic activity, which we will discuss thoroughly in section

4.6. Briefly, when Pd was deposited on $Ba_xAl_{2\nu}O_{x+3\nu}/Al_2O_3/$ NiAl(110), Pd nucleated at the Ba_xAl_{2v}O_{x+3v} nanoparticles and covered them. However, when the Ba component was deposited on the Pd/Al₂O₃/NiAl(110), isolated Ba_xAl_{2y}O_{x+3y} nanoparticles were formed without having any contact with Pd. 95 These structural differences resulted in different IR spectra of Pd/Ba_xAl_{2y}O_{x+3y}/Al₂O₃/NiAl(110) and Ba_xAl_{2y}O_{x+3y}/ Pd/Al₂O₃/NiAl(110) taken after NO₂ sorption due to the decreasing surface area of Pd and its modification by $Ba_xAl_{2y}O_{x+3y}$.

Although it is well-known that Pt group metals produce NH_3 during reduction of NO_x by H_2 , Rh has shown a promising effect in this regard. Rh not only showed the lowest NH₃ formation during reduction of NO_x, it also showed the highest reduction capability of NO_x among Pt, Pd, and Rh. ^{29,96} The NO_x storage capacity of Rh–BaO/Al₂O₃, however, was lowest compared to that of Pd and Pt due to lack of NO_x spillover from Rh to storage sites.²⁹ This difference between Rh, Pd, and Pt was explained by the different abilities of Pt, Pd, and Rh to dissociate NO, forming surface atomic nitrogen. Various other promising noble metals such as Ag and Ir have shown efficient NO_x reduction abilities in the presence of excess O2. Ag, under lean conditions, can store NO_x as nitrites and nitrates, which are reduced by H₂. 97-99 Ir-based catalysts have been reported to be good catalysts for NO_x reduction; $^{100-102}$ however, almost no significant investigations are reported in the literature regarding catalytic usage of Ir in NSR.

4.1.3. Bimetallic Systems

There are several reports on NSR catalysts containing only Pt or Pd or Rh as a metal on support. As mentioned above, depending on the reaction conditions and the feed gas stream, different metals behave differently. For example, Pt-containing catalysts show better sulfur tolerance, whereas Pd shows higher NO_x storage ability as well as better reduction activity compared to Pt. 13,89 Therefore, currently, the role of bimetallic catalysts in NSR is an important topic of investigation. 103-108

Pt-Rh-BaO/Al₂O₃ and Pd-Pt-BaO/Al₂O₃ showed some interesting results compared to the monometallic catalysts. The NO_x storage ability as well as oxidation under lean conditions followed the order Pt-BaO/Al₂O₃ > Pt-Rh-BaO/ Al₂O₃ > Rh-BaO/Al₂O₃. 31 XPS analysis helped explain this order of NO_x storage. The Pt⁰ and Rh⁰ in the catalyst converted to Pt²⁺ and Rh³⁺ under lean conditions. It was observed that the surface concentration of Rh increased by 35%, whereas for Pt it decreased by 70%. This explains the observation that the NO oxidation rate under lean conditions is lower for catalysts containing both Pt and Rh compared to the Pt only samples. Also, with an increase in Pt loading, the NO_x storage ability was increased. It was suggested that this behavior is probably due to more Pt being in contact with the Ba, providing more accessible storage area in the catalyst. Again, increasing the amount of Pt also increased the number of sites for adsorption of NO_x . On the other hand, Rh-containing catalysts showed higher NO reduction ability than Pt only catalysts (the rich cycle period was as long as 5 min). Interestingly, catalysts containing both metals showed higher overall efficiency than the corresponding monometallic catalysts. In a recent work on Pt- and Rh-containing Ba/Al₂O₃ catalysts, where the rich period was only 1.2 s, it was found that over $Pt-Ba/Al_2O_3$ and $Pt-Rh-Ba/Al_2O_3$ the rate of regeneration during the rich phase was not sufficiently fast to fully remove all the NO_x stored in the previous lean phase, resulting in deteriorating performance of the catalysts, 32 whereas, on the contrary, over Rh-Ba/Al₂O₃ the rate of regeneration was sufficiently fast. The authors concluded that the rate of storage was likely to be limiting the performance of the monometallic Rh-containing catalyst rather than the rate of regeneration. However, the Rhcontaining catalyst had a poor activity for NO_x storage. Over this 0.5%Pt-0.8%Rh-BaO/Al₂O₃ catalyst during 60 s of lean period at 350 °C, the amount of NO_x storage was about 75%, whereas the monometallic Pt-containing catalyst showed almost complete NO_x storage. In another investigation, Lesage et al. have shown that, over 2%Pt-1%Rh-BaO/ Al_2O_3 , the amount of NO_x species stored was 285 μ mol/g of catalyst (at 300 °C) and 590 μ mol/g of catalyst (at 400 °C), respectively.²⁶ However, in this case the nitration was of 1 h duration

In a DFT study on oxidation of NO with atomic oxygen involving Pd and Pt monolayers above the late transition metals (Ru, Rh, and Ir), and 1B metals (Cu, Ag, and Au), it was proposed that the two factors: (i) geometric effect (which arises due to lattice strain introduced by the epitaxial placement of Pt on the (111) surface of host metals), and (ii) electronic effect (due to the bonding between Pt and the host metal, which necessarily has a different electronic configuration than Pt) conspire to alter the reactivity. 109,110 The calculations proposed Pt/Ir as a promising system because Pt would remain at the surface even when exposed to reactants and this catalytic system also seems to have a lower activation energy for NO oxidation.

Though these are promising observations, so far, too few investigations have been carried out for a conclusive assessment of the possible beneficial role of bimetallic systems for NSR applications. This is particularly true for metal alloys whose possible potential is as yet largely unexplored.

4.2. Storage Components

In section 3 we have discussed the overall general mechanism of storage of NO_x over the Ba-component of the catalyst. As a storage component, generally alkali metals or alkaline earth metal compounds are common in use because of their high basicity. Several studies have been devoted to NO_x storage over the alumina supported Ba component, 19,20,33,46,47 and the burning questions related to the storage component are as follows: (i) What is the optimum loading of the Ba component in the NSR catalyst and what is the exact nature of the Ba component therein? (ii) What are the natures of the sorbed NO_x species on the Ba component? Does particle size play any role in storage? (iii) Does the storage occur just on the surface layer or throughout the bulk? Or are only the Ba sites near to Pt associated with the storage process? What happens if there is no Pt? (iv) What is the role played by in situ produced oxides such as BaO₂ or Ba-aluminate in the storage step? (v) How to regenerate the sulfated Ba-containing catalysts and which other alkaline earth metals or alkali metals can overcome the limitations of Ba? In order to investigate how NO_x molecules are adsorbed onto a NO_x -trap catalyst, extensive studies have been performed using various powerful analytical tools (FTIR, Raman, X-ray diffraction, LEED, STM, XPS, IRAS). In the following section, focus will be on the above-mentioned questions related with the storage components (alkaline earth metals and alkali metals) over primarily Al₂O₃ support.

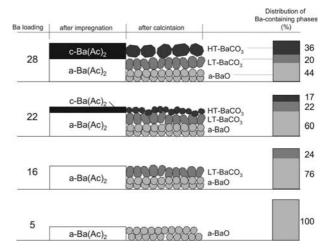


Figure 7. Schematic presentation illustrating the effect of Baloading and calcination on the distribution of Ba-containing phases. BaO and orthorhombic (HT, high temperature) and monoclinic (LT, low temperature) BaCO₃ were observed. The prefixes a and c refer to amorphous and cyrstalline phases, respectively. The bars on the right side indicate the quantity of respective phases (%). Different phases are marked by different gray tones. Reprinted with permission from ref 127. Copyright 2005 Elsevier B.V.

4.2.1. Earth Alkaline Metals

Although lots of investigations have been carried out, the composition of the storage material in the trapping process is still ill-defined. Epling et al. 13 show that it is difficult to draw general conclusions concerning the activity of Ba phases because samples with different Ba-loading were used in the various studies: e.g. 8 wt % of BaO/BaCO₃, 111 8.3 wt % of Ba, 112 9 wt % of Ba, 113 16 wt % of Ba, 114 17 wt % of Ba, 46,85 9-18 wt % of Ba, 115 and more than 20%. 116 The distribution and dispersion of Ba-containing species and consequently the influence on the reactivity can be distinctly influenced by the Ba-loading. 117 According to Lietti et al., BaO, Ba(OH)₂, and BaCO₃, all three kinds of Ba-components, are present in wet impregnation synthesized Pt-Ba/ Al₂O₃ catalysts. 118 The storage of NO_x occurs first at BaO and then at Ba(OH)₂ in 3% O₂/He atmosphere, and finally the carbonates are replaced by nitrates, in line with the greater basicity of the former compound. Researchers have observed either the presence of only BaO, 119,120 or only BaCO₃, 80,121–123 or both BaO and BaCO₃ together in as-prepared Pt-Ba/Al₂O₃ catalysts. 124-126 Frequent observation of BaCO3 as the primary phase may be attributed to its high temperature stability; at a temperature as high as 1300 °C, it decomposes to give BaO and CO₂. At lower temperature, bulk carbonate undergoes two polymorphic transformations at 800 °C (orthorhombic ↔ hexagonal) and 968 °C (hexagonal ↔ cubic). Compared to BaO or Ba(OH)₂, bulk BaCO₃ because of its crystallinity could be easily identified by transmission electron microscopy from the characteristic lattice fringe (3.72 Å) of the most intense diffracting crystallographic plane (111). It could also be easily identified by XRD. Pt-Ba/ Al₂O₃ synthesized from the Ba(Ac)₂ precursor with different Ba loading via the wet-impregnation method showed that the thermal stability of the Ba-containing phases depends on their interaction with the alumina support and the presence of dispersed platinum (Figure 7).¹²⁷ The following Bacontaining phases have been identified: amorphous BaO confined to the interface with the alumina support, monoclinic BaCO₃ being in intimate contact with BaO, and orthorhombic BaCO₃ showing bulklike properties. At low

Ba loading (<5 wt %), the Ba-containing phase exists exclusively as BaO. With 9-28 wt % Ba loading, the relative amount of monoclinic BaCO₃ is limited to 5-6 wt %, whereas the amount of orthorhombic BaCO₃, appearing at higher loading (>16 wt %), grows proportionally to the Baloading. It is clear that, in the presence of water (real exhaust gas), BaO is prone to transform to Ba(OH)₂. Frola et al. also demonstrated that with increasing Ba loading a welldispersed BaCO₃ phase (up to 10% Ba loading) converted into a bulky orthorhombic BaCO₃ phase (more than 10% Ba loading). 128 After a few cycles of heating at 350 °C in NO₂ and subsequent evacuation at 550 °C (conditioning treatment), the BaCO₃ initially present evolved to Ba(NO₃)₂ and then decomposed into a well-dispersed nanosized BaO phase. Thermodynamic calculations proposed that BaCO₃ is a relatively inactive compound in the storage process, and they favor BaO as active component due to the higher stability of carbonates compared to Ba(NO₃)₂, and there are thermodynamic limitations to the storage process if it is represented as 129

$$BaCO_3 + 2NO_2 + \frac{1}{2}O_2 \rightarrow Ba(NO_3)_2 + CO_2$$
 (15)

However, the predictive value of such thermodynamic considerations has to be considered with caution, because they refer to bulk properties and do not take into account interfacial contact of the dispersed components, which may greatly alter the stability of the different phases. The nature of the BaCO₃ and its stability at higher temperature was studied by exposing Pt-Ba/Al₂O₃ to CO, CO₂, and CO + O₂ at room temperature up to 500 °C. ¹³⁰ Barium carbonate species readily formed under all conditions and were stable in vacuum up to 500 °C. At elevated temperatures, some of the bidentate carbonate species transformed to unidentate species. Residual hydroxide species on the catalyst resulted in bicarbonates. Formate species were formed when CO was used but decomposed at moderate temperatures, forming more carbonate species in the process. Broqvist et al. concluded from first-principles calculations and ab initio studies that introduction of NO₂ on a hexagonal BaCO₃ surface made the decarbonation energetically relevant, while forming NO2-BaO-NO2 units, on the decarbonated surface.¹³¹ The interaction of NO_x species on the BaO (100) plane was totally electrostatic.^{132,133} The chemisorption was initiated by NO₂ adsorption in the form of a nitrite over a Ba²⁺ site. This generated an electron hole among the surrounding surface oxygen atoms. The second NO2 either could act as surface oxidant, forming a surface nitrite-peroxide pair by releasing NO(g), or could bind to a surface oxygen to form a formal surface nitrate. For nitrates, the preferred orientation during the simulations was a lateral structure with the N atom over a surface anion. Nitrate diffusion between anion sites was found to occur via a tumbling motion, involving a molecular configuration with nitrate oxygen atoms coordinated toward surface Ba cations. Castoldi et al. studied NO_x storage with different Ba loadings (in the range 0-30% w/w) in Pt-Ba/Al₂O₃ catalysts. 116 The increase in the Ba loading resulted in a strong increase of the NO_x adsorption; at 350 °C with 23% w/w Ba loading, the highest NO_x adsorption was 8×10^{-4} mol/g catalyst. They proposed that the addition of Ba increased the number of Pt-Ba neighboring species, which could favor the NO_x storage process. However, with more than 10% Ba loading, the morphological properties and the noble metal dispersion of the catalyst were negatively affected. It is commonly believed

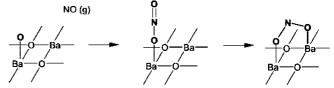


Figure 8. Formation of linear and bridged Ba nitrites by molecular adsorption of NO. Reprinted with permission from ref 111. Copyright 2003 Elsevier Science (USA).

that NO_2 formation is the precursor step to adsorption, and $NO \rightarrow NO_2$ conversion takes place over the noble metal. The analysis of the storage phase showed that with higher Ba content, NO_2 concentration decreased. This could happen because Ba coverage exceeds the monolayer capacity of the support and Pt sites were masked. As the spillover process plays a key role, the enhancement of NO_x storage on BaO due to intimate Pt-BaO contact has also been supported by other researchers. NO_x adsorption as nitrates without the assistance of Pt sites and molecular O_2 had been proposed to occur via a disproportionation reaction (combination of reactions 1, 2, and 3). 112

$$3NO_2 + BaO \leftrightarrow Ba(NO_3)_2 + NO$$
 (16)

This stoichiometry implies that, for every two molecules of NO_2 stored on the surface, one molecule is released in the form of NO. NO_x uptake on noble metal free BaO/Al_2O_3 has been proposed to take place via two steps, a small complete uptake followed by a larger but slower process, which generated one NO for every three NO_2 lost. ¹¹³

The interaction of NO or NO₂ with BaO has been studied by several groups, and surface species such as bidentate nitrite, N-coordinated nitrite and ionic nitrites (indicative vibrational bands at 1220, 1225, and 1330 cm^{-1} , respectively), 134-136 monodentate nitrate (1291, 1542 cm⁻¹), ¹³⁷ and bidentate nitrates (1214, 1573, 1200–1320, 1540-1650 cm⁻¹) have been identified. 134,137 Sedlmair et al. investigated the surface species and the reaction intermediates during NO_x storage on a commercial Pt-Ba/Al₂O₃ catalyst (both BaO and BaCO₃ were identified).¹¹¹ They proposed sequential reaction steps during the sorption of NO_x. Initially, NO was adsorbed in the form of Ba nitrite with a linear as well as bridged geometry (Figure 8). When the catalyst was exposed to NO, two negative bands at 1456 and 1297 cm⁻¹ were observed, which were attributed to the decomposition of BaCO₃ during NO sorption (however, the carbonate decomposition phenomenon was not observed with NO2 sorption). The Ba-nitrites (linear nitrite, 1422; monodentate nitrites, 1340, 1440; bridged bidentate nitrites, 1230, 1300 cm⁻¹) could form by replacing the Ba carbonates (Figure 9a). The nitrite bands around 1200 cm⁻¹ were observed to decrease with increasing exposure, while new bands at 1429 cm⁻¹ and above appeared. The bands at 1429 and 1332 cm⁻¹ were attributed to monodentate nitrates, whereas all bands above 1500 cm⁻¹ were assigned to nitrate species on Al₂O₃. This led to the conclusion that, after a certain NO exposure, the NO was oxidized on Pt, spilt-over, and sorbed on BaO or Al_2O_3 as nitrates. The thermal stability of the formed NO_x species increased in the order Al nitrites (linear, N-coordinated) < Ba nitrites (linear, monodentate) < Al nitrates (bridging bidentate, chelating bidentate) < Ba hyponitrites < Ba nitrates (monodentate). The formation of strong nitrite bands with very weak nitrate bands followed by the intense nitrates bands has also been supported by other research on powder samples as well as thin films. 47,138 At room temper-

Figure 9. Replacement of Ba carbonates with linear (a) and bridged (b) Ba nitrites. Reprinted with permission from ref 111. Copyright 2003 Elsevier Science (USA).

ature, the geometry of the nitrite was considered aligned parallel to the surface because of its weak intensity.¹³⁸ However, since a few nitrates were also observed from the very beginning of the run, a disproportionation reaction of NO2 was proposed. Recently, investigations were carried out on the nature of sorbed NO_x species as a function of reaction temperature or BaO particle size. NO₂ adsorption on a thick BaO film led to the formation of nitrates with a rate proportional to the sample temperature. 139 With the increase in sample temperature, the agglomeration of Ba(NO₃)₂ particles increased on the BaO surface and opened up new, unreacted BaO sites for reaction with NO₂. Interestingly, in a fairly recent investigation combining DFT and IRAS, the authors showed that on BaO supported on Al₂O₃ film, both monodentate and bridged nitrates are present and their ratios depend on the BaO particle size, NO₂ exposure, and reaction temperature, whereas only bridged nitrates were predominant over the Al₂O₃ film. ¹⁴⁰ They further showed that the thermal dependence of these nitrates is also affected by the particle size: the nitrates of the small particles had lower thermal stability and vice versa.¹⁴¹ An elaborate discussion will be given in section 6.2.

So far we discussed the solid state chemistry of the Ba component changing with the loading and temperature as well as issues such as the different NO_x species trapped by the Ba component. Now the question comes about the role of the surface and bulk Ba components in the catalyst. Szanyi et al. proposed that, upon NO₂ adsorption, the morphology of a BaO/Al₂O₃ catalyst changes and there are two kinds of nitrate species. Surface nitrates, which are associated with a monolayer BaO on the alumina support, and a bulklike nitrate that forms on this thin BaO layer. ¹⁴² In another investigation from the same group, on BaO deposited on θ -Al₂O₃ film on a NiAl(100) model system (vide section 6.2 for synthesis of this model catalyst and experimental details of the surface science techniques), the authors again observed two different kinds of nitrate species, which decomposed at 350 and 520 °C, hailing from surface and bulk Ba sites. 143,144 The interaction of NO₂ with BaAl_{2x}O_{1+3x} nanoparticles on Al₂O₃/ NiAl(110) at higher temperatures (330 °C) showed that the nitrate formation was not restricted to the particle surface but also involved the multilayer. 145,146 In a more recent study, they clarified the formation of bulk nitrates and surface nitrates; the surface nitrates were formed on Al₂O₃-supported (BaO)_x species (monomers, dimers, and/or small clusters) that are bound to Al³⁺ sites. ¹⁴⁷ Bowker in a recent review also supported the involvement of both the surface and bulk Ba species in the storage mechanism. 148 Szanyi et al. further observed a cyclic morphological change of the active Bacontaining phase using time-resolved X-ray diffraction and energy dispersive X-ray spectroscopy. 149 During preparation by an incipient wetness method using an aqueous Ba(NO₃)₂ solution, large Ba(NO₃)₂ crystallites on the alumina surface were formed. Upon thermal treatment, these large Ba(NO₃)₂ crystallites decomposed, forming nanosized BaO particles.

During room temperature NO₂ uptake, nanosized (<5 nm) Ba(NO₃)₂ particles formed, and heating at higher temperature (300 °C) resulted in larger Ba(NO₃)₂ crystals (15 nm). At higher temperatures, and in the absence of NO₂, the average size of Ba(NO₃)₂ particles increased further and eventually the component decomposed into BaO, regenerating the surface.

Thermodynamic calculations show favorable formation of BaO₂ up to 600 °C. During the storage of nitrates at elevated temperatures, many of the researchers identified oxidation of BaO to BaO₂ as an intermediate step. 48,113,150,151 According to Fridell et al., BaO is first oxidized to BaO₂, which is then able to store NO2 as nitrate without need for additional oxygen. 151 Exposure of BaO to NO2 at cryogenic temperature resulted in the formation of nitrite—nitrate pairs, suggesting that the activation energy of the ion pair formation is very low. Upon heating the nitrite-nitrate pair-covered BaO, nitrites decomposed first, leaving one O atom behind that took part in the formation of BaO_2 . The thus-formed BaO_2 was present even after all the nitrates were decomposed. Although the formation of BaO₂ from BaO is thermodynamically allowed under storage conditions, the corresponding reactions starting with bulk BaCO₃ are highly unfavorable. Unfortunately, to the best of our knowledge, there have not been many reports on the direct experimental observation of BaO₂ formation (Figure 10).¹⁵²

The formation of spinel BaAl₂O₄ is one of the key mechanisms responsible for the lowering of the performance during thermal and hydrothermal aging. 120,126 Thermal aging (oxidizing treatments at 1000 °C) of Pt-20 wt %BaO/Al₂O₃ catalyst showed significant sintering of Pt particles and formation of BaAl₂O₄, leading to a decrease of bulk NO_x adsorption sites. 119 Reducing treatments at 1000 °C lead to the formation of BaAl₂O₄ but, at most, minimal growth in Pt particle sizes. 120,153 Szailer reported the formation of BaAl₂O₄ as a function of Ba loading on Al₂O₃ support. At low concentration of Ba (2 and 8 wt % of Ba in Al₂O₃), there was no structural change in the surface BaO phase, even up to thermal aging of 1000 °C, whereas with 20 wt % Ba loading, formation of BaAl₂O₄ was observed already at 800 °C. 119 The authors concluded that, at lower Ba loading, only a monolayer of BaO is formed, while, at higher Ba loading, bulk BaO forms, which reacts with Al₂O₃ and results in BaAl₂O₄. The formation of a Ba-aluminate phase depending on the Ba concentration in Al₂O₃ has also been reported by other researchers. Balint et al. demonstrated that, at higher Ba loading on γ -Al₂O₃, BaAl₁₂O₁₉ was formed; however, this particular phase was not found with lower Ba content samples. 154 Diffusion experiments using BaCO₃-Al₂O₃ couples have further evidenced the formation of various Baaluminate phases via the intermediate Ba₃Al₂O₆ with excess of Ba, as a function of the distance of diffusion. 155 Most of the authors concluded that the NO_x storage capacity is reduced due to formation of this Ba-aluminate at higher temperatures. 156-158 On the other hand, there are reports

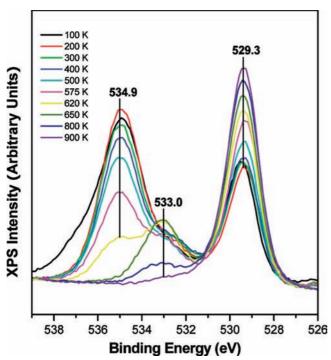


Figure 10. XPS data of the O 1s region after adsorption of NO2 at cryogenic temperature on a thick BaO film and subsequent annealing. The peak at around 533.0 eV is due to formation of BaO₂. The intensity of this peak reached its maximum after heating the sample to 350 °C, and it remained constant until all the ionic NO_x species desorbed. Reprinted with permission from ref 152. Copyright 2007 American Chemical Society.

where BaAl₂O₄ had been shown as a potential NO_x adsorber. 77,159,160 Interestingly, Yi et al. demonstrated that, at low BaO coverage (<1 ML), BaO forms a bariumaluminate-like surface phase. Upon exposure of this phase to NO₂, nitrite species form initially, and then, Ba ions are pulled out from the barium-aluminate-like surface at higher NO₂ exposures, resulting in the formation of ionic barium nitrates. 161 BaAl₂O₄ was considered as a better NO_x adsorber compared to BaO because strongly held carbonates were formed on BaO, which was not the case with BaAl₂O₄, where the competition of formation of nitrates and carbonates is always in favor of the nitrates. BaAl₂O₄ also showed better sulfur resistance behavior. Therefore, the exact role of the spinel in NSR catalysis is still not completely resolved. Arena et al. in this context reported that this apparent discrepancy might be related to the differences between the nonstoichiometric surface BaAl₂O₄-like phase and crystalline BaAl₂O₄. ¹⁶² In the nonstoichiometric phase, the substitution of [Al-O-Al]⁴⁺ with two Ba ions would create surface charged oxygen species, and therefore, it is likely that this phase would be more active in NO_x storage compared to the crystalline one.

One of the major drawback using Ba as a storage material is its high susceptibility toward sulfur. As BaSO₄ is thermodynamically more stable than Ba(NO₃)₂, the formation of the sulfate species hinders the NO_x sorption, and also the degree of deactivation of the NO_x storage capacity was found to be proportional to the amount of SO₂ to which the BaO based catalyst had been exposed. 163 Limousy et al. have shown that sulfur species on support material can migrate on barium sites, giving stable S-bound species. 164 Sedlmair et al. proposed two different mechanistic pathways for SO₂ deactivation over Pt-Ba/Al₂O₃. 165 Under lean conditions (exposure to SO₂ and O₂), surface sulfates are formed on

the Ba storage component, which subsequently migrate into the bulk phase. These species are hardly reducible by C₃H₆ up to 550 °C and block permanently Ba sites, which in turn leads to a decrease of NO_x storage capacity during successive cyclic treatments. A second pathway of deactivation by sulfur species occurs during fuel-rich (reducing) conditions. Sulfides seem to be formed on the Pt particles, which block the metal surface and, thus, hinder the further reduction function of the metal, as revealed by the lower reducibility (higher hydrogen reduction temperature) of the noble metal. Schreier et al. have found that the amount of desorbed sulfur compounds during lean/rich regeneration cycles increased with decreasing λ value (0.99–0.94) at constant temperature and with increasing temperature (660-720 °C) at constant λ value. 166 Elbouazzaoui et al. studied the regeneration of the Pt-Ba/Al₂O₃ catalyst from sulfur deactivation. ¹⁶⁷ They found three types of sulfates, Al-sulfate, surface Ba-sulfate, and bulk Ba-sulfate. The reduction of the Al-sulfate and surface Ba-sulfate was promoted by Pt, whereas Pt was not effective in the reduction of bulk Ba-sulfate. During regeneration of the sulfated Pt-Ba/Al₂O₃ catalyst, under H₂/Ar up to 800 °C, they observed surface Ba-sulfates to be reduced to sulfides directly or by reaction between H₂S produced during reduction.

$$BaSO_4 + 4H_2 \rightarrow BaS + 4H_2O \tag{17}$$

or

$$BaSO_4 + 4H_2 \rightarrow BaO + H_2S + 3H_2O$$
 (18)

$$BaO + H_2S \rightarrow BaS + H_2O$$
 (19)

However, when CO was introduced in the feed gas composition of regeneration, all sulfur was eliminated but the initial NO_x storage capacity was not recovered because the storage material formed Ba-aluminate. In another catalyst-regeneration investigation, Kim et al. synthesized sulfated Pt-BaO/ Al₂O₃ (primarily BaSO₄) with two different Ba loadings (8 and 20 wt %) and observed that desulfation was easier with the less Ba loaded sample. 168 They found that some of the Ba species in Pt-BaO(20)/Al₂O₃ were inaccessible to the SO₂ adsorption because of diffusion limitations in the formation of sulfate species in the interior region of the particulate Ba phase. Surface BaSO₄, originally from the "monolayer" BaO/BaCO3 phase in Pt-BaO(8)/Al2O3, was more readily (i.e., at lower temperature) and completely removed as gaseous H₂S than the bulk sulfates in Pt-BaO(20)/ Al₂O₃. At high temperature, desulfation of both samples led to residual sulfur in a reduced form, primarily as fairly large BaS particles.

Apart from using Ba as a storage component, there are plenty of reports on other alkaline earth metals such as Mg, Ca, or Sr. The high affinity of Ba for sulfating makes the other alkaline earth metals popular. Different storage behavior and NO_x conversions were observed by replacing Ba with Mg, Ca, and Sr on noble metal based Al₂O₃. 169-171 Han et al. proposed that for effective removal of NO_x a good capacity for NO_x storage is required. ¹⁶⁹ Ba(NO₃)₂ or Sr(NO₃)₂ species, which were formed on Pt-BaO/Al₂O₃ and Pt-SrO/ Al₂O₃, respectively, were more favorable as the storage form than other monodentate and bidentate nitrate species formed on Pt-CaO/Al₂O₃. They also showed that NO_x conversion was highest with Pt-BaO/Al₂O₃ followed by Pt-SrO/Al₂O₃, whereas Pt-CaO/Al₂O₃ had the least NO_x conversion ability among the three. However, by changing the noble metals (from Pt to Pd and Rh) on CaO/Al₂O₃, the amount of NO_x conversion as well as the storage amount could be changed. 170 Huang et al. also proposed from FTIR data that with an increase in intensity of nitrate bands the storage property increases and the order was CaO/Al₂O₃ < Pd-CaO/Al₂O₃ < $Pt-CaO/Al_2O_3 < Rh-CaO/Al_2O_3$. The basicity of the alkaline earth materials shows a strong correlation with the storage property; the amount of bulk nitrate increases with the basicity of the alkaline earth oxide, while the amount of surface nitrates decreases along this series.^{27,172}

Takeuchi and Matsumoto proposed that, with an increase in the basicity of NO_x storage material, the amount of the stored NO_x can also be increased, and in comparison with Ba and Mg, Ba will be a better storage material than Mg.¹⁷¹ However, promising storage activity at lower temperature (200 °C) was observed when Ba was partially replaced with Mg.^{121,173} 17%Ba-1%Pt/Al₂O₃ and 1.5%Mg-8.5%Ba-1%Pt/ Al₂O₃ were prepared using the wet impregnation method, and X-ray diffraction patterns of the catalysts showed the presence of BaO and BaCO₃ phases with an around 20 nm BaCO₃ particle size. During preparation of the catalysts, addition of alkaline earth metals lead to a progressive occlusion of pores. At lower temperature (200 °C), the NO storage capacity was higher with 1.5%Mg-8.5%Ba-1%Pt/ Al₂O₃ compared to 17%Ba-1%Pt/Al₂O₃, while at higher temperatures (300 and 400 °C) the trend was reverse. However, the Mg-Ba catalyst exhibited high resistance to the deactivation by SO₂. The behavior of the Mg-Ba catalyst suggested a synergistic effect of the two elements and a better interaction with the support.

4.2.2. Alkali Metals

Using alkali metals as a storage component is a comparatively newer concept and also getting popularized. 174-176 Among the alkali metals, potassium is mostly used as a storage component in NSR catalysts. 177-179 Toops et al. have quantified the amount of adsorbed NO_x on $Pt-K/Al_2O_3$ catalyst by a DRIFT study. Potassium on an Al₂O₃ surface replaced the OH groups by OK, and the phases had a fractional surface area of 1.1% for the 1.7 nm-sized Pt, 34% for pure Al₂O₃, and 65% for the alkalized-Al₂O₃. The addition of K to the catalyst increases the adsorption capacity to 6.2 μ mol of NO_x/m², and the primary storage form on K is a free nitrate ion. ¹⁷⁸ Recently, Toops and Pihl studied the effect of SO_x on the cycling lean/rich NSR activity of Pt-K Al₂O₃ at three different temperatures (200, 300, and 400 °C) and concluded that, during initial sulfation, NO_x conversion was directly linked to the lean phase storage capacity (storage capacity was reduced) and did not hamper the reduction kinetics in the rich phase because the amount of unconverted NO_x decreased or was constant with sulfation time. ¹⁸⁰ Sulfur interactions with the catalyst had a distinct temperature dependence. At 300 °C, SO₂ initially adsorbed near Pt before interacting with other sites further away from Pt. At 400 °C, SO₂ either preferentially adsorbed near Pt and then quickly diffused along the surface to other less proximal sites or it directly adsorbed on sites further away from Pt. Potassium on Al₂O₃ in the regeneration step of NSR also showed a higher rate of NO reduction compared to its Ba counterpart.181

Investigations have been carried out on potassium as a potential storage component on other than Al₂O₃ support. Lesage et al. have shown by using K instead of Ba that the amount of NO_x stored could be enhanced significantly even at lower temperatures. At 200 °C, K-containing catalyst showed 560 μ mol of NO_x per g of catalyst, whereas the Bacontaining catalyst did not show any storage property.²⁶ Furthermore, using K instead of Ba, due to increased basicity of the storage sites, delayed nitrate release during rich steps and consequently delayed the production of NH₃ and isocyanate, thus achieving complete N₂ selectivity. Under lean conditions over the catalyst $Pt-K-Mn/Al_2O_3-CeO_2$, initially NO oxidized to NO₂ and got adsorbed on K sites such as KNO₂, which then involved NO₂ as oxidant, and finally oxidized to KNO₃.25 The authors observed two different periods during NO_x storage. The first one was complete NO_x adsorption (i.e., surface nitration) with carbonate decomposition, and the second one was NO₂ diffusion in the bulk phase. They proposed two different kinds of K present in the catalyst surface as well as bulk K. The enhanced storage property was also correlated with the structure of the catalyst, which will be discussed in section 4.3.2. Liu et al. in a fairly recent investigation of Pt-K/ TiO₂-ZrO₂ also came to the same conclusion of two different K sites; however, they discriminate the K sites based on the distance from the noble metal Pt. 182 A comparatively new concept of coupling catalysis and solid-state electrochemistry for the electrochemically assisted Pt-K/Al₂O₃ catalyst showed some interesting results. 183 Under negative polarization, NOx was stored on the catalyst surface in the form of KNO₃. Under positive polarization, the catalyst was regenerated, and the stored nitrates were efficiently desorbed and reduced to N₂. K ions electrochemically transferred to Pt played a double role in the NSR process, as a promoter for NO oxidation and as storage sites. This ability of the electrochemical catalyst to work under wet conditions demonstrated the potential practical uses of this novel system. However, a serious practical problem with using K as storage material is that KNO₃ melts at 334 °C and decomposes around 400 °C. Table 1 provides an overview of current NO_x storage results in the literature.

From the investigations available so far, it emerges that besides the type of storage material, several other factors are decisive for NO_x storage behavior, such as loading, crystallinity and dispersion, interfacial contact with support, proximity of the noble metal component, thermal stability, and reactivity with components of the exhaust gas, such as CO_2 , H_2O , and sulfur compounds.

4.3. Supports

The noble metals and the storage materials are dispersed over a support; the support not only helps in dispersing the noble metals but also plays a significant role in the NSR mechanism. Depending on the support, the dispersed noble metal particles show different stability and catalytic activity (see section 4.1). As concerns the effect of the support on the storage properties, in many cases, single-site sorption models on Ba sites have been used to explain the observed chemistry or trends in data. However, there are plenty of reports which deal with involvement of the support as: (i) a storage material, (ii) a hindrance toward agglomeration, and (iii) prevention of formation of mixed oxides with the primary storage materials. We will discuss the role of the support materials in detail in the following sections.

4.3.1. Single Oxides

FTIR investigation of alumina samples exposed to NO₂ + O₂ below 300 °C revealed that Al₂O₃ is an important

Table 1. Overview of the Current NO_x Storage Data Reported in the Literature

catalyst	feed	adsorption amount ^a (temp)	ref
2%Pt-17%BaO/Al ₂ O ₃	$NO + O_2$	$0.11 \times 10^{-3} (300 ^{\circ}\text{C})$	Mahzoul et al. ²⁶⁶
1%Rh-5%CaO/Al ₂ O ₃	NO	$0.28 \times 10^{-3} (300 ^{\circ}\text{C})$	Huang et al. 170
1%Pt-5%CaO/Al ₂ O ₃	-do-	$0.18 \times 10^{-3} (300 ^{\circ}\text{C})$	-do-
1%Pd-5%CaO/Al ₂ O ₃	-do-	$0.097 \times 10^{-3} (300 ^{\circ}\text{C})$	-do-
Pt-16.5%Ba/Al ₂ O ₃	$NO + O_2$	$0.177 \times 10^{-3} (200 ^{\circ}\text{C})$	Lietti et al. ¹¹⁸
-do-	-do-	$0.581 \times 10^{-3} (300 ^{\circ}\text{C})$	-do-
-do-	-do-	$0.407 \times 10^{-3} (350 ^{\circ}\text{C})$	-do-
-do-	-do-	$0.324 \times 10^{-3} (400 ^{\circ}\text{C})$	-do-
9%BaCO ₃ /Al ₂ O ₃	$NO + O_2 + CO_2$	$0.34 \times 10^{-3} (400 ^{\circ}\text{C})$	Rodrigues et al. 129
Pt-20%BaO/Al ₂ O ₃	NO_2	$0.363 \times 10^{-3} (300 ^{\circ}\text{C})$	Olsson et al. ⁴⁸
-do-	-do-	$0.375 \times 10^{-3} (400 ^{\circ}\text{C})$	-do-
9%BaO/Al ₂ O ₃	-do-	$0.260 \times 10^{-3} (400 ^{\circ}\text{C})$	Cant and Patterson ¹¹³
11%BaO/TiO ₂	-do-	$0.277 \times 10^{-3} (200 ^{\circ}\text{C})$	Despres et al. 197
$Pt-10\% Ba/Al_2O_3$	-do-	$0.7 \times 10^{-3} (400 ^{\circ}\text{C})$	Anderson et al. ⁷⁶
Pt-Mg-Al-O (Mg/Al = 1)	NO	$0.401 \times 10^{-3} (350 ^{\circ}\text{C})$	Cheng et al. ²¹¹
Pt-Mg-Al-O (Mg/Al = 2)	-do-	$0.469 \times 10^{-3} (350 \text{ °C})$	
Pt-Mg-Al-O (Mg/Al = 3)	-do-	$0.505 \times 10^{-3} (350 ^{\circ}\text{C})$	
1%Pt-20%BaCO ₃ /Al ₂ O ₃	NO_2	$0.67 \times 10^{-3} (350 ^{\circ}\text{C})$	Nova et al.46
-do-	$NO+O_2$	$0.46 \times 10^{-3} (350 ^{\circ}\text{C})$	-do-
Pt-Rh-16.3%Ba/Al ₂ O ₃	-do-	$0.285 \times 10^{-3} (300 ^{\circ}\text{C})$	Lesage et al. 108
-do-	-do-	$0.59 \times 10^{-3} (400 ^{\circ}\text{C})$	-do-
Pt-4.5% K/Al ₂ O ₃	$NO + O_2$	$0.79 \times 10^{-3} (250 ^{\circ}\text{C})$	Toops et al. 178
Pt-Na ₂ O/TiO ₂	-do-	$0.66 \times 10^{-3} (300 ^{\circ}\text{C})$	Yamamoto et al. 198
-do-	$NO + O_2 + SO_2$	$0.57 \times 10^{-3} (300 ^{\circ}\text{C})$	-do-
Pt-Mn-K/Al ₂ O ₃ -CeO ₂	$NO + O_2$	$0.56 \times 10^{-3} (200 ^{\circ}\text{C})$	Lesage et al.25
-do-	-do-	$0.865 \times 10^{-3} (300 \text{ °C})$	-do-
-do-	-do-	$0.98 \times 10^{-3} (400 ^{\circ}\text{C})$	-do-
0.1%Pt $-$ MgO/CeO ₂	$NO + O_2$	$0.014 \times 10^{-3} (400 ^{\circ}\text{C})$	Costa et al. ²²⁷

The amount of NO_x stored is reported in mol g * of catalyst

storage compound forming surface nitrate species with various coordination (monodentate, bridged, and bidentate nitrates) modes to the surface. ¹³⁷ When the same gas mixture was introduced to BaO/Al₂O₃ samples, bidentate and bridged nitrates formed on Al₂O₃ at the Al₂O₃—BaO interface and also there was indication of nitrate groups moving from Al₂O₃ to BaO during storage. However, some other investigations demonstrated that only with longer exposure were bridged and chelating nitrates on Al sites formed and that the thermal stabilities of the Ba-nitrates/nitrites were higher than those of the corresponding ones of Al. ^{111,113}

As we have discussed earlier, sulfation is a major drawback of Ba/Al_2O_3 based catalysts; there are two strategies to overcome this problem. One is to replace the storage material Ba by another alkali or alkaline earth material, which we have discussed in sections 4.2.1 and 4.2.2, and the second one is to make use of support materials that either do not form any mixed oxides with the storage material or form mixed oxides that easily decompose in the presence of CO_2 or NO_x .

CeO₂ as a support is one such example. 184-186 Contradictory reports exist in the literature about the role of CeO₂ in NSR catalysis; inertness of CeO₂ toward NO_x adsorption has been reported by Svedberg et al., 187 whereas some beneficial role of CeO₂ has also been reported. 126,156,188-192 DRIFT studies have demonstrated that, over BaO/CeO₂, NO storage as nitrites on Ce(IV) sites reduced it to Ce(III). 188 A favorable aspect of using CeO₂ as a support is formation of highly instable BaCeO₃. BaAl₂O₄ formation is a high temperature (more than 850 °C) phenomenon, whereas BaCeO₃ forms at slightly lower temperature (800 °C). 126 Interestingly, BaCeO₃ is transformed to Ba(NO₃)₂/CeO₂ in the presence of NO₂/H₂O already at 300-500 °C. Also, the presence of CO₂ leads to decomposition of barium cerate, which has important consequences for the catalyst aging under NO_xstorage conditions. After on-board reactivation treatment, the

thermally aged Pt-BaO/CeO₂ showed a promising improvement in NO_x storage compared to that of a conventional Pt-BaO/Al₂O₃ catalyst. ¹⁵⁶ The nature of Pt also changes in the CeO₂-supported catalyst compared to the Al₂O₃-supported catalyst during thermal aging. 189 Casapu et al. demonstrated by means of X-ray absorption spectroscopy that, on CeO₂supported catalysts, the behavior of Pt resembles that in a mechanical mixture of BaCO₃ and PtO₂, with BaPtO₃ formed relatively temperature low (600 -700 °C). Above 800 °C, BaPtO3 reacted with BaCeO3 to form a double perovskite Ba₂CePtO₆. Both perovskites kept the Pt well-dispersed and could be reduced with H₂ at relatively low temperatures, thus restoring the catalytically active Pt species. Exposure of the Pt-Ba/CeO₂ catalyst to reducing H₂ atmosphere in the temperature range 300-500 °C lead to a moderate increase of Pt particle size, which beneficially influenced the regeneration activity. 190,191 On the contrary, in Al₂O₃ based catalyst, with an increase in temperature, there was no formation of Pt-Ba oxide; only sintering of Pt particles took place. Pt-BaO/CeO₂ showed better sulfur resistance compared to the Pt-BaO/Al₂O₃ (Figure 11d). 193 After reductive desulfation at 600 °C, the Pt-BaO/CeO₂ catalyst was almost unchanged (Figure 11a and b), whereas \sim 5 nm Pt clusters were formed on the Al₂O₃supported catalyst (Figure 11c), confirming again weaker interaction between Pt and the support material.

ZrO₂ is also an alternative promising support to CeO₂. Piacentini et al. demonstrated that low temperature BaCO₃ (monoclinic) is more efficient for NO_x adsorption compared to the high temperature BaCO₃ (orthorhombic-whiterite). Monoclinic BaCO₃ (at lower Ba loading) supported on ZrO₂ was more efficient than that supported on Al₂O₃, leading to higher NO_x sorption. They also showed that the concentration of monoclinic BaCO₃ was poorest over SiO₂. In a separate study, with different Ba loading over ZrO₂ and Al₂O₃, they found that, at higher Ba loading, Al₂O₃ and ZrO₂

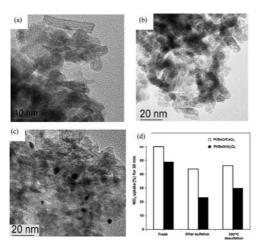


Figure 11. TEM images of (a) Pt-BaO/CeO₂ after calcination at 500 °C; (b) Pt-BaO/CeO₂ after desulfation; and (c) Pt-BaO/Al₂O₃ after desulfation. (d) NO_x uptake profile of Pt-BaO/CeO₂ and Pt-BaO/Al₂O₃. Sulfation was carried out by treating the sample at 250 $^{\circ}\text{C}$ with 25 ppm SO_2 in the lean gas mixture for 3 h. Reactants consisted of a continuous flow of 200 ppm NO, 10% CO₂, and 10% H₂O balanced with He with either rich (1330 ppm C_3H_6 , 4% CO, and 1.33% H_2) or lean (12% O_2) gas added over 0.1167 g of catalyst at a total flow rate of 300 cm³/min. Reprinted with permission from ref 193. Copyright 2008 Elsevier B.V.

afforded about similar NO_x storage behavior.¹⁹⁵ However, the surface area of the ZrO2 was lower than that of Al2O3, and blocking of the Pt sites occurred, resulting in poor reduction of stored NO_x.

TiO₂, due to its lower acidity compared to Al₂O₃, should form instable sulfates, and this led researchers to make use of TiO₂ as a support in NSR catalysis. 196 Despres et al. observed formation of three different nitrate species (surface nitrates on BaO and TiO₂ as well as bulk nitrate on BaO) during NO₂ adsorption on BaO/TiO₂ at 200 °C.¹⁹⁷ Surface nitrates on TiO2 were decomposed at 370 °C during TPD. Yamamoto and co-workers synthesized a series of alkali and alkaline earth metal oxides supported on Pt/TiO₂; 1%Pt-10%M_xO_y/TiO₂, where M = Li, Na, K, Cs, Sr, Ba. ¹⁹⁸ The NO_x sorption capacity among these catalysts in both SO₂-free and SO₂-containing atmospheres obeyed the following order: K > Na > Cs > Sr > Ba > Li. TiO_2 in all the samples was present as mixed phases of anatase and rutile, and after calcination at 450 °C, formation of Li₂TiO₃, SrTiO₃, and BaTiO₃ over Pt-Li₂O/TiO₂, Pt-SrO/TiO₂, and Pt-BaO/TiO₂, respectively, was observed, which could be responsible for the low NO_x sorption observed over these catalysts.

In situ Raman spectroscopy investigation on NO₂ storage and reduction on BaO supported on MgO put a new mechanistic view on sorption of NO.^{135,136} 14 mol % BaO on MgO below 400 °C was found to form barium-nitro species, which eventually transformed into nitrite and nitrates. Above 400 °C, crystalline BaO₂ was observed. However, the role of MgO was not elucidated.

4.3.2. Mixed Oxides

Mixed oxides were also being used as supports in NSR catalysis, and promoting as well as demoting effects have been observed. Pt-BaO/Al₂O₃ with additives such as WO₃, MoO₃, V₂O₅, and Ga₂O₃ has been examined for catalytic activity. When tested for NO oxidation to NO₂, WO₃, and MoO₃, additives lead to the best performing catalyst in the

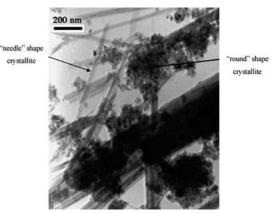


Figure 12. TEM image evidencing the shape of the crystallites in the Pt-K/Mn/Al₂O₃-CeO₂ sample. Reprinted with permission from ref 25. Copyright 2007 Elsevier B.V.

absence or in the presence of SO₂. ¹⁹⁹ However, the NO_x storage capacity was poorer for Pt-BaO/MoO₃-Al₂O₃ than for Pt-BaO/Al₂O₃ in presence of SO₂. Al₂O₃-CeO₂ mixed oxide has cut a mark for sulfur resistance. 200,201 When 2-3% Co was added to Pt-BaO/Al₂O₃ catalyst (Co mainly existed as Co₃O₄), the NO_x storage capacity was higher compared to the Co free counterpart.²⁰² A promoting effect of Co₃O₄ has also been supported by others.²⁰³ Addition of 5% Co increased the NO_x storage capacity of a 1% Rh-15%Ba/ Al₂O₃ catalyst by 50% and that of a 1% Pt-15% Ba/Al₂O₃ by 100%. This promotional effect of Co was 2-fold: (i) the high oxidizing ability of Co, present in the form of Co₃O₄, allowed to reduce the noble metal content to 0.25%, and (ii) provided extra oxidation sites for NO to NO₂ conversion and a large contact area for NO₂ spillover to Ba storage sites. Cu addition to Pt-BaO/Al₂O₃ improved the sulfur tolerance of the material, which was believed to be caused by formation of the bimetallic phase, Pt-Cu.²⁰⁴ Lesage et al. demonstrated that by utilizing Al₂O₃-CeO₂ they could enhance the storage of NO_x. ²⁵ The Pt-K/Mn-Al₂O₃-CeO₂ catalyst was prepared by the wet impregnation method and showed two distinct structures: poorly crystalline round shaped particles with various amounts of Ce and Al, and needle shaped crystallites made of AlKMn₃ oxides and Al₂K oxides. The AlKMn3 oxides of Holloandite structure with K atoms in the tunnel generally slowed down the NO_x diffusion resulting in higher storage (Figure 12).

Ample investigations have been performed with Mg-Al supports. Hydrotalcites, layered double hydroxides of general formula $[M_{1-x}^{z+}M_x^{3+}(OH_2)]^{b+}[A_{b/n}^{n-}]mH_2O$ (M = metal, A = anion and B = x or 2x-1, respectively, for z = 2 or 1), are very attractive catalyst supports, and Pt and Cu doped Mg-Al hydrotalcite (Mg²⁺/Al³⁺ = 1.3:1, 2:1, 3:1) showed interesting characteristics as novel NSR catalysts, with improved low-temperature activity (<200 °C) and better resistance to deactivation by SO₂ compared to the conventional Pt-Ba/Al₂O₃ catalyst. 205-210 Fornasari et al. observed that the sample with the lowest Mg/Al ratio (Mg²⁺/Al³⁺ =1.3:1) showed the best activity at temperatures <200 °C but deactivated almost completely at 500 °C.205,207 They concluded that (i) the presence of the cubic Mg(Al)O mixed oxides was responsible for the higher activity, while formation of the spinel MgAl₂O₄ deactivated the NO_x storage activity, and (ii) the presence of Cu had no substantial effect on NO_x storage but the addition of Cu lead to resistance to deactivation by SO₂ and also prevented conversion of Mg(Al)O mixed oxides to MgAl₂O₄. However, conflicting results have been reported in the literature about the Mg/Al ratio, the importance of the spinel structure for storage, as well as the role of transition metals in hydrotalcites. Cheng and co-workers demonstrated that the amount of the NO_x storage increased with increasing Mg/Al atomic ratio and that the highest amount of NO_x storage occurred when Mg²⁺/ $Al^{3+} = 3:1.^{211}$ Takahashi et al. synthesized Pt-K/MgAl₂O₄, which crystallized in a pure spinel structure and showed a considerable amount of NO_x storage over the spinel phase even at higher temperature. 212 Co as a transition metal played a significant role in Co_xMg_{3-x}/Al hydrotalcite-like compounds (where x = 0.0, 0.5, 1.0, 1.5, 2.0, 2.5, 3.0). When more Co was incorporated to replace Mg in the hydroxide layers, the derived oxide contained more spinel (with chemical formula $CO_{4/3}^{2+}CO_{5/3}^{3+}AlO_{16/3}$) and less MgO. NO_x adsorption over these catalysts was different at different temperatures. At 100 °C, NO_x storage was highest when the Co concentration was less (x = 0.5), and at 300 °C, NO_x storage increased with an increase in Co concentration. resulting in the highest storage amount at x = 2.5. The Co³⁺ helped in NO_x adsorption via the reactions:

$$NO + Co^{3+} - O^{2-} \rightarrow Co^{2+} - NO_2^{-}$$
 (20)

$$NO_2 + Co^{3+} - O^{2-} \rightarrow Co^{2+} - NO_3^-$$
 (21)

Higher NO_x adsorption was due to a migration process of NO₃⁻ and NO₂⁻ from Co to adjacent Mg/Al to form relatively stable Mg/Al nitrates and nitrites. Yu et al. had studied NOx trapping catalysis further by adding one more transition metal such as Fe or Mn or rare earth metals such as La and Zr. 214,215 The incorporation of the fourth element facilitated the two stage reduction of $\mathrm{Co^{3+}} \rightarrow \mathrm{Co^{2+}}$ and $\mathrm{Co^{2+}}$ → Co⁰ and helped in oxidizing nitrites to nitrates and storing them. As the incorporation of the fourth element increased the activity due to the combination of the redox properties of these metals and the acid-base properties of the hydrotalcite, Palomares et al. incorporated 1% of Pd, Pt, Ru, and V in Co_xMg_{3-x}/Al and observed the best results with 1% V.²¹⁰ Yamazaki et al. demonstrated that, among the first row transition metals (Fe, Co, Ni) in Pt-Ba/Al₂O₃ catalyst, the aged Pt-Ba-Fe/Al₂O₃ had the highest NO_x purification activity.²¹⁶ They came to the conclusion that the Fe compound inhibited the growth in the size of BaSO₄ particles formed on the Pt-Ba-Fe/Al₂O₃ catalyst under oxidizing conditions in the presence of SO₂ and promoted the decomposition of BaSO₄ and desorption of the sulfur compound under reducing conditions. Although the Fe component favored the reduction of the BaSO₄ phase, Luo et al. showed that the coexistence of Pt and Fe was detrimental for the NO_x storage and sulfur removal as compared with Pt-Ba/Al₂O₃ catalyst.²¹⁷ Mn 2p_{3/2} XP spectra of Mn-Ba/Al₂O₃ catalyst showed the presence of MnO₂ and Mn₂O₃ as well as formation of BaMnO₃ due to reaction between BaO and MnO₂ during the calcination process.²¹⁸ MnO₂ and Mn₂O₃ on the surface catalyzed the oxidation of NO, and Mn-Ba/Al₂O₃ in combination with Pt-Ba/Al₂O₃ catalyst showed promising regeneration activity.

Other than Al₂O₃, CeO₂ based compounds such as CeO₂–ZrO₂ gained importance as support in NSR catalysis. Pt–Ba/Ce_xZr_{1-x}O₂, synthesized by flame spray pyrolysis, exhibited two different structures of BaCO₃ and Ce_xZr_{1-x}O₂. ²¹⁹ At x > 0.5, orthorhombic BaCO₃ was found, whereas, at x < 0.5, there was more monoclinic BaCO₃. Pt–Ba/Ce_{0.7}Zr_{0.3}O₂ was studied against conventional Pt–Ba/

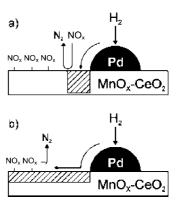


Figure 13. Possible two models for the spillover-assisted NO $-H_2$ reaction over Pd/Ce_{1-x}Mn_xO₂ catalyst. Reprinted with permission from ref 224. Copyright 2003 American Chemical Society.

 Al_2O_3 with regard to sulfur resistance and regeneration. Crystallized BaSO₄ was detected on sulfated Pt-Ba/Al₂O₃ catalyst after aging at 600 °C under oxidizing conditions, whereas the same was observed on Pt-Ba/Ce_{0.7}Zr_{0.3}O₂ only after aging at 800 °C. The sulfates elimination under rich conditions was more efficient on Pt-Ba/Ce_{0.7}Zr_{0.3}O₂: 85-90% of sulfur was eliminated at 550 °C on Pt-Ba/Ce_{0.7}Zr_{0.3}O₂ while only 55% was eliminated on Pt-Ba/ Al₂O₃.

First row transition metal (such as Mn, Fe) doped CeO₂ is being used as support as well as storage materials for NSR catalysis. Machida et al. synthesized a solid solution of $Ce_{1-x}Mn_xO_2$ in a pure fluorite structure until x = 0.5 (with an increase in concentration of Mn, there was formation of Mn_2O_3) and observed NO_x adsorption at low temperature.²²¹ The low temperature NO_x adsorption was attributed to adjacent pair sites with different characters, i.e., NO oxidation over MNO_x and adsorption over CeO₂. 1% Pd supported on the Ce_{1-x}Mn_xO₂ showed efficient selective reduction of sorbed NO_x. ^{222,223} The authors proposed two possible models for the NO-H₂ reaction over Pd/Ce_{1-x}Mn_xO₂ considering significant reactions between spilt-over hydrogen and NO_x adsorbates stored on the surface of Ce_{1-x}Mn_xO₂ (Figure 13).²²⁴ The first model (a) is the reaction between gaseous NO_x and the anion vacancy created by spilt-over hydrogen. The reaction would take place in the vicinity of the boundary with Pd, where anion vacancy must be most abundant. On the other hand, the second model (b) is based on the direct reduction of NO_x adsorbates by spilt-over hydrogen. This process requires continuous H_2 supply to NO_x -saturated $Ce_{1-x}Mn_xO_2$, which would expand the reduced area on the surface of Ce_{1-x}Mn_xO₂ and consequently convert a large number of NO_x adsorbates into N_2 . CeO_2 – Fe_2O_3 as a support in the aged Pt-Ba/Al₂O₃-CeO₂-Fe₂O₃ catalyst at 750 °C also showed better NSR activity when using CO as a reducing agent at a relatively low temperature (up to 300 °C) compared to the Fe₂O₃ free catalyst.²²⁵

The alkaline earth metals are also used with CeO_2 as a support. Costa and Efstathiou showed that over $0.1\%Pt/MgO-CeO_2$ ($CeO_2/MgO=1:1$), two structurally different NO_x adsorbed precursor intermediates ("active NO_x " species) were formed within the metal—support interface region, whereas "inactive NO_x " species were populated on both the metal and support surfaces. $^{226-228}$ The reduction mechanism followed the H_2 spillover process from Pt metal to $MgO-CeO_2$ support interface. Figure 14 shows the interface formed between MgO and CeO_2 crystals.

TiO₂ has been blended in widely with other single oxide supports, such as Al₂O₃ or ZrO₂, and showed promising

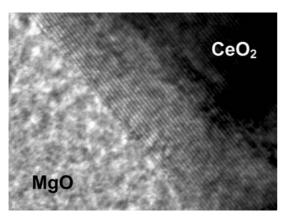


Figure 14. HRTEM image of 0.1 wt % Pt/MgO-CeO₂ prepared by the sol-gel synthesis where the distinct interface formed between MgO and CeO₂ crystals. NO_x adsorption and catalytic sites have been created along the interface of the two oxides. Reprinted with permission from ref 227. Copyright 2007 American Chemical Society.

sulfur tolerant properties when applied as support for NSR catalysis. 229-237 A combination of 33 mol % TiO₂ and 67 mol % lithium-doped Al₂O₃ was selected by Matsumoto et al. to optimize the acidity of the support, and this catalyst minimized the amount of SO_x deposit after the sulfur poisoning test. 230 Takahashi and co-workers obtained the best NO_x removal activity above 500 °C with Pt-K 70% ZrO₂-30% TiO₂ compared to pure TiO₂ or ZrO₂ support (below 400 °C, TiO₂-rich supports were superior for NO_x removal, whereas ZrO₂-only supports had a poor activity over the entire temperature range).²³¹ The authors found that TiO₂ had a high resistance to K2SO4 formation, while ZrO2 suppressed the solid phase reaction with K. Nanocomposites of Al₂O₃ and TiO₂-ZrO₂ had higher thermal stability compared to that of the physical mixture of Al₂O₃ and TiO₂-ZrO₂ when thermally treated at 900 °C (Figure 15a and b). In the nanocomposite oxide, the particle size of the primary TiO2-ZrO2 particles was less than 30 nm on average, whereas aggregation of the TiO₂-ZrO₂ particles in the physically mixed oxide was observed. This phenomenon was attributed to the Al₂O₃ particles acting as a diffusion barrier to the TiO2-ZrO2 particles in the nanocomposite. This behavior was also reflected in their NO storage capacity, as can be seen from Figure 15c and d.²³⁵ Zou et al. doped Al₂O₃ into TiO₂-ZrO₂ oxide (by sequential impregnation), which improved both the NSR and sulfurresistance performance of the catalyst Pt-K/TiO₂-ZrO₂.²³⁸ ZrO₂ reacted with TiO₂, ZrTiO₄ was formed, and Al₂O₃ doping increased the specific surface area and decreased the Ti content.

Perovskite-type oxides of general formula ABO₃ (where A is usually a rare earth metal coordinated by 12 oxygen atoms and B is usually a transition metal surrounded by six oxygen atoms in octahedral coordination) have been investigated for their role in NSR catalysis. Casapu et al. demonstrated that the formation of BaPtO₃ or double perovskite Ba₂PtCeO₆ kept Pt well dispersed, and it could be reduced with H₂ at relatively low temperatures, thus restoring the NSR catalytic activity of Pt. 189,191 With A = Ca, Sr, or Ba and B = Sn, Zr, or Ti, Hodjati et al. studied the NO_x adsorption—desorption and reached the conclusion that, for A sites, the NO_x adsorption—desorption order was Ba > Sr > Ca and, for B sites, $\hat{S}n > Zr > Ti^{239,240}$ Using Sn in the B site, they observed little amount of SnO₂ and BaCO₃ or SrCO₃ phases, whereas, for Zr and Ti, pure perovskite

phase was formed. The key factors which control the absorption of NO₂ were the bonding energy between the element B and the oxygen atom and the electropositivity of the element A. However, when BaSnO₃, the best perovskite obtained, was exposed to SO₂ and NO₂ together, the competition between the formation of nitrate and sulfate favored the latter, leading eventually to deactivation. Calcined BaFeO₃ and BaFeO_{3-x} type perovskite phases showed better sulfur tolerance activity at low SO₂ concentration.²⁴¹

Zeolites have also been reported as possible NO_x adsorbents.^{242,243} The zeolite structure, the silica to alumina ratio, and the cation counterbalancing the negative charge of the zeolite framework were found to be important parameters. Alkali metal exchanged zeolite Y uniquely showed trapping of NO and NO₂ as N₂O₃ molecules.²⁴⁴⁻²⁴⁶ These N₂O₃ molecules compete with the water molecules on specific adsorption sites.

$$Na_x(H_2O)_y + NO + NO_2 \rightarrow Na_x(H_2O)_{y-3}(N_2O_3) + 3H_2O$$
 (22)

N₂O₃ can be desorbed as NO and NO₂ by displacing the equilibrium in the above equation as a result of changing the partial pressure (by flushing the adsorbent bed with watercontaining gas). When SO₂ was in the feed gas, formation of sodium and hydrogen sulfite was found to poison the N₂O₃ adsorption capacity; however, that could be minimized by high temperature and low oxygen concentration during desorption.²⁴⁷ Experiments with NO at 200 °C show that this molecule cannot be stored on Cu/ZSM-5.248 On the other hand, NO₂ may be stored over a wide temperature range from both dry and humid feeds. The adsorption of nitrogen dioxide involves a disproportionation reaction yielding two stored nitrate species and one molecule of NO released to the gas phase:

$$3NO_2 + [CuO] \leftrightarrow NO + Cu(NO_3)_2$$
 (23)

Unfortunately, these works do not address the reduction of the stored NO_x. However, combination of Pd/Al₂O₃ as oxidation-reduction component, Pt/Rh-Ba/Al₂O₃ as NSR component, and Cu/ZSM-5 as NO_x storage component showed higher activity than the individual catalysts, which was attributed to the additional synergic effect of this combination.²⁴⁹ The Pd catalyst upstream of the NSR catalyst improved the NO_x storage ability by NO₂ formation under oxidative atmosphere. The stored NO_x was reduced to NH₃ on the NSR catalyst, and the generated NH3 was adsorbed on Cu/ZSM-5 downstream of the NSR catalyst under a reductive atmosphere and subsequently reacted with NO_x on the Cu/ZSM-5 under an oxidative atmosphere. Interestingly, Corbos et al. showed that the NO_x removal activity almost doubled with the Pt-Rh-Ba/Al₂O₃ and Cu/ZSM-5 mixed catalyst compared to a system where Pt-Rh-Ba/Al catalyst is situated upstream and Cu/ZSM-5 downstream of the gas flow.²⁵⁰ They found Cu/ZSM-5 enhanced the formation of NCO species on alumina during rich conditions, which were further hydrolyzed to NH₃ under lean conditions to act as a reductant for NO_x .

4.4. Promoters

In section 4.2 we have discussed the use of other storage components and support oxides which are applied in place of/with conventional Ba and Al₂O₃ for improving the resistance against sulfur deactivation in terms of suppressing

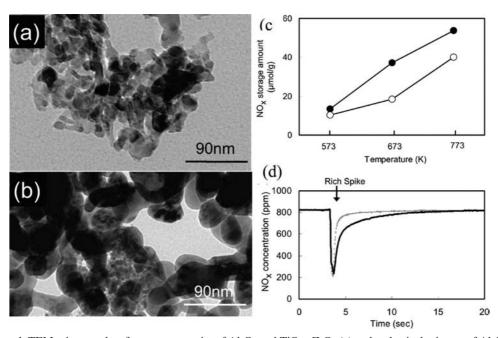


Figure 15. Left panel: TEM micrographs of a nanocomposite of Al₂O₃ and TiO₂-ZrO₂ (a) and a physical mixture of Al₂O₃ and TiO₂-ZrO₂ (b) after thermal treatment at 900 °C for 5 h. Right panel: NO_x storage performance of a nanocomposite of Al₂O₃ and TiO₂−ZrO₂ (●) and the physical mixture (O) after the thermal aging test (c), and the NO_x outlet profile of the nanocomposite of Al₂O₃ and TiO₂-ZrO₂ (dark line) and the physical mixture (broken line) under the lean/rich cycle (d). The lean and rich atmospheres were switched every 2 min. Lean conditions: 0.065% C₃H₆, 800 ppm NO, 6.6% O₂. Rich conditions: 0.34% C₃H₆, 5.6% CO, 1.9% H₂, 50 ppm NO. Under both conditions: H₂O (3%) and CO₂ (11%) were present. The gas flow rate was 1000 cm³/min, and the gas hourly space velocity was 30,000 h⁻¹. Reprinted with permission from ref 235. Copyright 2007 Elsevier Inc.

formation of BaSO₄ or mixed oxides such as BaAl₂O₄. However, this addition of foreign components affects the catalytic behavior in various ways, including thermal stability (as a structural promoter) and electronic promotion (as a chemical promoter). It is often difficult to differentiate clearly between structural promoters and chemical promoters.

In NSR catalysts, Ba is added to Al₂O₃ primarily for its storage property. However, much evidence showed that the addition of Ba to Al₂O₃ increases the thermal stability of Al₂O₃. At higher temperature, there can be textural changes in Al₂O₃ which may result in loss of surface area and porosity; sintering can affect the size and distribution of metal particles or structural changes, such as a phase transition from $\gamma \rightarrow \alpha$ -Al₂O₃ at 1200 °C, may occur. Balint et al. demonstrated that synthesis of Al₂O₃ using combined microemulsion and hydrothermal techniques followed by calcination at 1100 °C produced the α phase, with a very low surface area and large particle size, whereas addition of Ba in the synthesis remarkably increased the surface area with stabilization of the γ phase. ¹⁵⁴ However, with higher Ba loading, BaAl₁₂O₁₉ was formed. Enhanced thermal stability of Al₂O₃ with addition of Ba compared to the other alkaline earth metals had also been shown by other authors. 251,252 Addition of large cations such as La had shown a promising effect on the thermal stability of the Al₂O₃ support. A long time ago, Schaper et al. had shown that sintering of γ -Al₂O₃ proceeds via surface diffusion and that addition of La₂O₃ decreased the rate of sintering by forming surface LaAlO₃ layers, thereby inhibiting the diffusion of ions Al3+ and O2- and increasing the transformation temperature by 100 °C. 253 Later, many other researchers revealed the enhanced thermal stability of Al₂O₃ with introduction of rare earth doping elements such as La, Ce, Zr, Gd, and Pr; however, to our knowledge, no NSR catalysis has been carried out with these materials. 251,254 Rare earth metals behaving as chemical promoters along with structural promotion had also been

shown by researchers. 255,256 1%Pt-16%Ba supported on Al₂O₃-Ce_{0.75}Zr_{0.25}O₂ showed better hydrothermal stability and higher Pt dispersion compared to conventional Pt-Ba/ Al₂O₃ catalyst.²⁵⁷ During the calcination, barium ions migrated over the surface of the catalyst, which showed a good NO_x storage—reduction behavior. Lin et al. showed that Ce, along with Ba, was a better storage material compared to La (Pt_{2.5}Ce_{30.5}Ba_{33.4}Al₁₀₀ had a storage capacity of 1020 \times 10⁻⁶ mol/g at 30 min, while Pt_{2.5}La_{30.5}Ba_{33.4}Al₁₀₀ had a storage capacity of 341×10^{-6} mol/g). ²⁵⁵ A further advantage of using CeO₂ compared to Al₂O₃ as a support is the lower stability of formed BaCeO₃ (which does not become a hindrance to NOx sorption like BaAl2O4), which we have discussed in section 4.3.1. When the rare earth oxides (La₂O₃, Nd₂O₃, Pr₆O₁₁) were used without Ba on CeO₂–ZrO₂ support, they enhanced Pt dispersion and sulfur tolerance compared to the Pt-Ba/Al₂O₃ catalyst.²⁵⁶ Among the rare earth oxides, La₂O₃ doped CeO₂-ZrO₂ showed the highest NO_x sorption at low temperature (200 °C) as well as at higher temperature (400 °C). A promoting effect for NSR activity of TiO₂-ZrO₂ with K as storage component at different calcination temperatures has been observed by Liu and coworkers.²⁵⁸ Pt-K/Ti_{0.5}Zr_{0.5}O₂ ("third-generation NSR catalyst" as called by the authors) calcined at 500 °C showed the largest surface area but the lowest storage capacity. When calcination temperature had been increased to 650 and 800 °C, the NO_x storage capacity of the catalyst was greatly improved, but its sulfur-resisting ability decreased. The interactions between large amount of surface hydroxyl groups and K₂CO₃ at different temperatures were supposed to be responsible for this behavior. An interdependent and combined promoting effect of Co and Rh was demonstrated by Kim et al.³⁰ Chemically promoting the NO_x storage efficiency by Co was discussed in section 4.3.2. The kinetics of 2% cobalt loading over Pt-BaO/Al₂O₃ demonstrated the highest NO_x uptake during the lean cycle, while the reduction efficiency during the rich cycle was inferior. In contrast to this, Rh lowered NO_x uptake during the lean cycle but enhanced the efficiency of NO_x reduction during the rich cycle.

Thus, the addition of foreign metal oxides to the prototypical $Pt-Ba/Al_2O_3$ catalyst could result in higher NO_x storage efficiency or a higher rate of reduction of sorbed NO_x , as proved in many instances. The distinction between structural and chemical promotion is often ambiguous because both effects were observed. In any case, the addition of transition metal oxides to Al_2O_3 or CeO_2 supports showed significant promotional effects. High red/ox properties of Co and Mn in Al_2O_3 and in CeO_2 catalyzed NO oxidation, or the presence of Fe or Cu promoted desulfurization (vide section 4.3.2). On the other hand, the presence of rare earth oxides promoted NSR catalytic activity chemically as well as structurally.

4.5. Preparation Methods

Different synthesis methods are adopted for the preparation of solid catalysts. Interestingly, the distinct synthetic routes (which lead to variation in noble metal dispersion and particle size, surface area, crystalline nature, and structural and morphological diversities), different precursor materials, aging, and calcination temperature play an important role in the resulting catalytic behavior of the solid materials. 259,260 For example, Uy et al. found a higher NSR activity for freshly prepared Pt-Ba/Al₂O₃ compared to thermally aged catalyst.¹⁵⁸ The sintering, loss of surface area, oxide formation, and separation of Ba and Pt sites in the thermally aged catalysts were considered possible reasons for its low catalytic activity. Pt-Ba/Al₂O₃ synthesized by three different methods, (i) successive impregnation of Ba and Pt, (ii) coaddition of Pt and Ba, and (iii) Ba precipitation followed by Pt impregnation, did not result in significant differences in rapid NO_x storage as well as in the surface area of the catalysts.²⁶¹ On the contrary, the pretreatment of the catalysts under N₂ before thermal aging gave rise to a higher dispersion of Pt and Ba and proximity of the duo, which led to remarkable improvement of the NO_x storage performance. We have discussed earlier the different activities of Pt-Ba/ Al₂O₃ on monolith catalysts prepared from four different Pt precursors. 85 The catalyst prepared from Pt(NO₃)₂ had significantly higher NO_x storage and reduction activity as well as the highest surface area (96 m²/g) and Pt dispersion (16%). The pH of the Pt(NO₃)₂ solutions in the synthesis of Pt-Ba/Al₂O₃ was ∼3. In this acidic medium, the Pt complexes in the Pt(NO₃)₂ solution and the BaCO₃/Al₂O₃ surface both were positively charged and had a repulsive electrostatic interaction to prevent agglomeration and precipitation, which led to higher Pt dispersion and consequently higher NSR activity. On the contrary, BaO/Al₂O₃ catalysts, made of different nitrate and acetate precursor salts via impregnation method, showed NO₂ uptake behaviors which were proportional to the BaO content but virtually independent of the preparation method.²⁶²

In the following section, we will discuss the possible effect of the catalyst preparation route on the catalytic behavior. Syntheses of monolith catalysts are different from those of the powder ones. Monolith supports of cordierite, $2\text{MgO} \cdot 2\text{Al}_2\text{O}_3 \cdot 5\text{SiO}_2$ of cylindrical shape with ~ 60 channels/cm², are impregnated with the catalyst washcoat. ^{58,263} The catalyst is generally deposited on the cordierite by stepwise impregnation by dip coating. Al₂O₃ is dispersed first

with the help of boehmite, followed by Ba and noble metals. In order to achieve a higher degree of Pt and Ba dispersion, increasing hydrothermal stability, many synthetic methods, such as coprecipitation, 77,213,215,235,264 flamemade synthesis, 86,87,219 and sol—gel, 162,241,265 and wet impregnation methods 20,32,71,94,113,116,127,149,167,169,173,266—268 are employed.

Wet impregnation is the most commonly used and conventional synthetic route for NSR catalysts, and most of the monolith catalysts are prepared by this process. It is a stepwise process of impregnating Pt and Ba on an Al₂O₃ support. A recent investigation on changing the order of impregnation of Pt and Ba on Al₂O₃ revealed that the storage capacity at higher temperatures was higher for the catalysts prepared by impregnating Ba on Al/Pt (Al/Pt/Ba) than by impregnating Pt on Al/Ba (Al/Ba/Pt), and at 400 °C the storage was increased by as much as 54% (the optimum storage temperature for the Al/Pt/Ba was around 300-400 °C, and the corresponding temperature for the Al/Ba/Pt samples was 200-300 °C.)²⁶⁹ The authors suggested that the reason for the shift in temperature is that Ba was better utilized when added to the catalyst after the Pt impregnation than before. The negative effect of the Pt precursor (Pt-(NO₃)₂) on Ba during the preparation of Al/Ba/Pt samples was attributed to lowering of the dispersion of the Ba. This might have lowered the amount of storage sites available and possibly also decreased the interfacial area between Ba and Pt for the Al/Ba/Pt samples compared to the Al/Pt/Ba. Preparation by impregnation showed a beneficial effect compared to that by coprecipitation. Li et al. synthesized Pt-MgO/Al₂O₃ from Mg(NO₃)₂•6H₂O and H₂PtCl₆•6H₂O as precursors by incipient wetness impregnation as well as by the coprecipitation method.²⁷⁰ The results showed that the preparation methods exert significant influence on the structural properties and the adsorption abilities for NO_x. The coprecipitated Pt-MgO/Al₂O₃ had only a hydrotalcite structure, while, in the impregnated catalyst, MgO had two kinds of structural patterns: one was a monolayer dispersion on Al_2O_3 , and the other was the excessive bulk MgO. This led to Pt-MgO/Al₂O₃ samples prepared by impregnation with larger specific surface areas. FTIR results showed different mechanisms for NO_x storage on the duo, which was attributed to the higher NO_x storage capacity for the wet impregnated catalyst. On the coprecipitated Pt-MgO/Al₂O₃, after about 15 min, NO was first transferred into bridged nitrite species, and then the nitrite species were oxidized by the oxygen atoms spilling over from Pt sites on the catalyst surface to monodentate and bidentate nitrate species. On the other hand, over the impregnated catalyst, after 10 min, in addition to the above process, NO was first oxidized to NO₂ over Pt sites of the catalyst, and then NO₂ species reacted with O₂ to form monodentate nitrate species.

A proven method for producing homogeneous ceramic powders is sol—gel processing. Stable dispersions, or sols, of small particles are formed from precursor chemicals such as metal alkoxides or other metal organics. Arena et al. synthesized Pt—Ba/Al₂O₃ by the sol—gel method and studied its reactivity as a function of the preparation method and also compared the reactivity with that of a reference sample prepared by wet impregnation. ¹⁶² 1%Pt—15%BaO/Al₂O₃ was made from H₂PtCl₆, Ba(CH₃COO)₂, and Al(O-i-Pr)₃ by the sol—gel procedure using acid (HCl 37%) for the gelification. Figure 16 shows the comparison of NSR activity between the catalysts synthesized by the sol—gel and wet impregna-

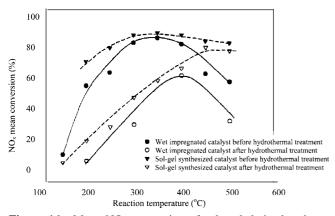


Figure 16. Mean NO_x conversion of sol—gel derived and wet impregnated catalyst before and after hydrothermal treatment. The hydrothermal aging treatment was carried out in a fixed bed reactor at 800 °C (6 h) feeding a mixture of air saturated with water at 60 °C (about 10% water in air). The NSR activity was studied using a series of cyclic sequences of feed changes from lean conditions (120 s: 5% O₂, 10.8% CO₂, 954 ppm NO, remaining He) to rich conditions (6 s: 3.3% CO, 1.1% H₂, 6000 ppm C₃H₆, 5% O₂, 10.8% CO₂, 954 ppm NO, remaining He). Space-velocity was set to 60,000 h⁻¹. Reprinted with permission from ref 162. Copyright 2005 Elsevier B.V.

tion methods before and after the hydrothermal treatment (hydrothermal treatment was at 800 °C for 6 h with 10% water in air). The sample prepared by the sol-gel method before the hydrothermal treatment showed a similar maximum NO_x conversion as the wet impregnated sample. The higher activity of the sol-gel derived catalyst compared to the impregnated one at low and higher temperatures suggested a higher dispersion of the NO_x storage component in the sol-gel derived catalyst. The interesting result was the activity of the catalysts before and after the hydrothermal treatment. After the hydrothermal treatment, the sol-gel derived catalyst showed improved NO_x conversion activity at higher temperatures. The authors suggested the presence of two different types of NO_x storage sites in the sol-gel derived catalyst. At lower temperature, BaCO₃ surface species were responsible for NO_x storage, whereas, at higher temperature, BaAl₂O₄ was the active NO_x storage component. However, the authors could not place any experimental evidence against their hypothesis. Conflicting results are also available in the literature exhibiting better NSR activity of wet impregnation prepared Pt-Ba/Al₂O₃ compared to corresponding samples prepared using the sol-gel method.²⁶⁸ BaO in ZrO₂ derived from the sol-gel method showed poor NO_x storage activity compared to BaO in CeO₂-ZrO₂ prepared by wet impregnation. However, this comparison is doubtful because the structural environment of BaO was different in the two catalysts. The sol-gel method has also proven its superiority to the coprecipitation method in the synthesis of NSR catalysts.²⁶⁵ Haneda et al. synthesized CeO₂–ZrO₂ by two different procedures: the sol–gel method and the coprecipitation method. CeO₂-ZrO₂ synthesized by the sol-gel method showed formation of a solid solution with a single cubic fluorite structure, whereas coprecipitated CeO₂-ZrO₂ showed the presence of separate phases of CeO₂ (cubic) and ZrO₂ (tetragonal). NO_x uptake by the sol-gel synthesized catalysts showed an order of magnitude higher storage capacity than that of the corresponding coprecipitated catalyst (2.19 \times 10⁻⁴ compared to 0.21 \times 10⁻⁴ mol of NO g⁻¹ CeO₂–ZrO₂ prepared by coprecipitation methods). The NO_x removal proceeded through the oxidation of NO to NO₂

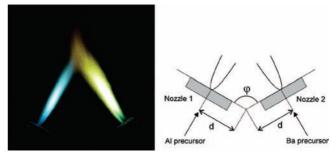


Figure 17. Schematic of the FSP setup using two separate nozzles. The image shows two flames producing $Pt/Ba/Al_2O_3$ (blue flame (left): Al; yellow-greenish flame (right): Pt and Ba). The angle between the two nozzles φ was fixed at 120°, and the internozzle distance between the angle tip and each nozzle center (d) was varied symmetrically between 6 cm. Reprinted with permission from ref 87. Copyright 2006 American Chemical Society.

and subsequent adsorption as NO₃⁻ species on Ce⁴⁺-O²⁻ pair sites. However, no great difference in NO₂ coverage was observed between CeO₂-ZrO₂ prepared by sol-gel and coprecipitation methods (0.48 layers and 0.41 layers, respectively), suggesting that the properties of the sorption sites on both sorbents were similar but the storage amount in sol-gel synthesized catalyst was high due to high NO oxidation activity to NO₂. The improved oxygen storage capacity enhances the oxidation ability. As the sol-gel synthesized catalyst consists of a complete solid solution, its enhanced NO oxidation activity was attributed to the formation of O₂⁻ species, which is a more efficient oxidant than any other surface oxygen species. Homogeneous mixing of Ce ions and Zr ions in the solid solution was considered to be one of the important factors for the high NO_x sorption capacity of the sol-gel synthesized catalyst compared to the corresponding coprecipitated catalyst.

Flame spray pyrolysis has cut a mark in the synthesis of NSR catalysts. Flame spray pyrolysis is a one step process involving the combustible precursor solutions. Single-nozzle as well as two-nozzle flame syntheses have been applied.²⁷¹ The latter allows a better control of the distribution of the different constituents in the resulting material. A typical setup for two-nozzle flame synthesis is shown in Figure 17. Crucial are the positions of the two nozzles, which greatly influence the temperature and concentration fields in the flame. Strobel et al. used a setup with a fixed angle φ of 120° and a distance d = 6 cm between the nozzles. The precursor mixture contained Al(III) tri-sec-butoxide as Al precursor and Ba(II) 2-ethylhexanoate and Pt acetylacetonate as Pt-Ba precursor. 87 The Al and Pt-Ba precursors were sprayed from the separate nozzles with 5 and 3 mL min⁻¹ feed, respectively. Both the sprays were surrounded and ignited by a small flame ring. The flames were operated in an open air environment, reaching a maximum flame temperature of up to 2500 °C, and the product particles were collected on a glass fiber filter. As the temperature during the synthesis is so high, usually the product formed is the thermodynamically most favorable. As we have discussed earlier (section 4.2.1), the flame spray pyrolysis made catalysts showed a higher percentage of monoclinic BaCO₃ and, at higher Ba loading, flame made catalysts were virtually free of orthorhombic BaCO₃, whereas, in corresponding wet impregnated catalyst, there was a higher concentration of orthorhombic BaCO₃ (e.g., for 25 wt % Ba loading in wet impregnated catalyst, orthorhombic BaCO₃ was 32% and monoclinic BaCO₃ was 56%, whereas, for the flame made catalyst at the same Ba loading, monoclinic BaCO₃ was 48% with no orthorhombic BaCO₃). Because of a higher concentration of monoclinic BaCO₃ as well as a higher surface area of the catalyst synthesized by flame spray pyrolysis, the NO_x storage was higher compared to that of corresponding conventionally wet impregnated Pt–BaCO₃/Al₂O₃ (e.g., for 25 wt % Ba loading, flame made catalyst stored 1.65×10^{-3} mol of NO per g of catalyst, whereas the wet impregnated catalyst stored 1.32×10^{-3} mol of NO per g of catalyst). ^{86,272}

Pt-BaCO₃/Al₂O₃ synthesized recently by using triblock copolymer P123 as template exhibited higher Pt dispersion, higher surface area and porosity, as well as better thermal stability compared to the catalyst prepared by a conventional wet impregnation method. 123 For this synthesis, with the aqueous solution of the template P123 calculated amounts of Ba(NO₃)₂ and Al(O-i-Pr)₃ were taken. (NH₄)CO₃ was added dropwise to this solution to precipitate Ba²⁺ into BaCO₃. The BaCO₃ phase in this mesoporous catalyst after calcination was monoclinic, which has better NO_x adsorption properties compared to orthorhombic-whiterite BaCO₃.86 The catalyst prepared by the impregnation method for comparison showed a higher concentration of orthorhombic-whiterite BaCO₃. The sulfur removal occurred also at lower temperature over this uniquely synthesized catalyst due to a higher dispersion of Ba and smaller Pt particles.

The coprecipitation is a simple and rapid preparation method with easy control of particle size. Synthesis of Ba Al₂O₃ from the precursors Ba(NO₃)₂ and Al(NO₃)₃•6H₂O involved precipitation by (NH₄)₂CO₃ in acidic medium and eventually calcination at 1000 °C, which resulted in higher NO_x storage due to formation of a BaAl₂O₄-Al₂O₃ phase.⁷⁷ An advantage of coprecipitation synthesis of the nanocomposite of Al₂O₃-ZrO₂-TiO₂ for NO_x storage over the conventional physical mixture of oxide has been nicely demonstrated by Imagawa et al.²³⁵ After thermal treatment at 1000 °C, the aggregation of ZrO₂-TiO₂ particles in the nanocomposite of Al₂O₃ and ZrO₂-TiO₂ was inhibited relative to that in the physically mixed Al₂O₃ and ZrO₂-TiO₂ because Al₂O₃ particles act as a diffusion barrier to ZrO₂-TiO₂ particles in the nanocomposite oxide. This helped in storing 55 μ mol of NO per gram of coprecipitated nanocomposite of Al₂O₃-ZrO₂-TiO₂ compared to 40 μmol of NO per gram of the physical mixture Al₂O₃-ZrO₂-TiO₂. Hydrotalcite-like compounds synthesized by coprecipitation have shown good NSR activity. 213,264 The Mg/Ru-Al hydrotalcite-like compounds synthesized by constant-pH coprecipitation were made from $Mg(NO_3)_2 \cdot 6H_2O$, $Al(NO_3)_2 \cdot 9H_2O$, and $RuCl_3 \cdot 3H_2O$ as precursors.²⁶⁴ The as-prepared compound showed a single phase hydrotalcite structure, whereas when calcined at 600 °C for 6 h, it produced MgO and the spinel phase Mg(Al,Ru)₂O₄. However, no RuO_x was formed, indicating a high dispersion via this synthesis. Due to this high dispersion of Ru and consequent oxidation of NO to NO2, the catalyst showed a high NO_x storage capability of 220 μ mol g⁻¹.

From the above discussion, we can conclude that the synthesis method applied to prepare the catalyst plays a very important role in the NSR catalysis. The various preparation methods control the noble metal and storage site dispersion, their structural behavior, as well as the storage and regeneration chemistry. However, there is no unique way of synthesis which can conclusively be claimed as the best synthetic route for preparation of the catalyst.

4.6. Influence of Remote Control

We have considered in section 3 the different steps of the NSR mechanism, comprising the oxidation of NO_x, storage and reduction (catalyst regeneration), and also the intimate relation between the noble metal and the storage component in terms of spillover and reverse spillover phenomena. The geometric proximity between the noble metal and the storage component plays a significant role in the mechanism and overall activity of the catalyst. 273,274 Mahzoul et al., in their pioneering work on remote control, discovered two kinds of Pt sites working differently based on the distance from the Ba sites.²⁶⁶ They proposed that Pt sites close to BaO crystallites are active for Ba(NO₃)₂ formation and involved in the spillover reaction, while the Pt sites which are located far from the BaO crystallites only help in oxidation of NO to NO₂. Later, Epling et al. concluded that it is not the Pt sites; rather two different kinds of Ba sites are present in the Pt-Ba/Al₂O₃ catalyst depending on the distance from the Pt sites. 112 The proximal Ba sites store NO2 as nitrates with participation of Pt and O₂ as an oxidant. The remote Ba sites, where NO₂ is the more preferable source of oxygen, rely on the disproportionation mechanism to store NO_x as nitrates. Indpendent of whether there are two kinds of Pt sites or Ba sites, it is clear that the proximity of the Pt and Ba plays a significant role, as Uy et al. showed the closeness of Pt and Ba sites in the fresh catalyst enhanced NO_x storage capacity compared to the aged catalyst where Pt and Ba are not proximal sites. 158 Nova and co-workers investigated the exact effect of the geometric proximity on the NSR mechanism and arrived at an almost similar conclusion. 46,116,275 During a regeneration process over Pt-Ba/Al₂O₃, the stored nitrates were readily reduced to N2 and NH3, which indicated the reduction of the stored Ba(NO₃)₂ through a Pt-catalyzed surface reaction unlike thermal decomposition of stored Ba(NO₃)₂ from the Pt free surface. ²⁷⁵ Such results indicated the reverse spillover phenomena between Pt and Ba sites and the importance of their close proximity. This was confirmed further when different products were obtained with the regeneration experiment over the physical mixture of Pt/ Al₂O₃ and Ba/Al₂O₃. Similar kinds of experiment designed to elucidate the spillover phenomena on Pt-BaO/Al₂O₃ prepared by impregnation and a physical mixture of Pt/SiO₂ and Ba/Al₂O₃ revealed that the rate of isotopic exchange between ¹⁵NO and stored NO_x under storage conditions was more than five times faster in the former catalyst compared to the later, demonstrating spillover of NO_x species between Pt and BaO in close proximity.⁵² The closeness of Pt and Ba sites also plays an important role in desulfation of the catalyst. 217,276 In sulfated Pt-Ba/Al₂O₃, most of the sulfate is reduced to H₂S during reduction, which contributes to the removal of sulfur.

$$BaSO_4 + 4H_2 \rightarrow Ba(OH)_2 + H_2S + 2H_2O$$
 (24)

$$BaSO_4 + CO_2 + 4H_2 \rightarrow BaCO_3 + H_2S + 3H_2O$$
 (CO₂ is also present in the exhaust) (25)

Platinum has a good H_2 activation ability, and it enhances the rate of the above-mentioned reactions if it is placed near to Ba sites. In a recent study on the importance of the proximity of Pt and Ba controlled deposition of Pt clusters on BaCO₃ or Al_2O_3 , a two-nozzle flame spray pyrolysis method was applied.²⁷¹ During storage, the beneficial role of a close interaction of Pt and Ba for NO_x uptake was

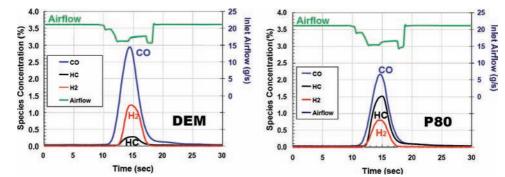


Figure 18. Reductant concentrations during two different regeneration strategies: delayed and extended main (DEM) and post-80 injection (P80). In DEM the extra fuel is injected near main injection timing to achieve rich conditions, whereas in P80 the extra fuel is injected after the main injection later in the cycle to achieve rich conditions. Reprinted with permission from ref 277.

confirmed. However, Pt on Al₂O₃ exhibited a better NO oxidation, which was the rate limiting step for the overall NO storage process at low temperatures (300 °C). During regeneration, Pt on Ba showed much better activity than Pt on Al₂O₃, which was attributed to the important reverse spillover phenomenon. However, at higher temperatures (>350 °C) the location of Pt barely affected the performance during storage and reduction.

Interesting results about the lowering of the performance of the NSR catalyst due to close contact between Pt and Ba have also been reported. According to Dawody et al., the close contact between Pt and Ba might promote electronic interaction and could suppress the NSR catalytic activity, and they demonstrated that if Pt particles were preferably anchored close to Al₂O₃ rather than to BaCO₃ surface particles, the catalytic activity was higher.⁸⁵

To sum up, the proximity and the spatial distribution of the noble metal and storage sites seem to play an important role for achieving high NSR activity. However, systematic investigations on the optimum distance between the two components and exploiting this remote control phenomenon for gaining higher activity are still needed.

5. Influence of Exhaust Gas Composition

The composition of real engine exhaust gas is rather intricate and depends on many factors, such as type of fuel, engine type, and air/fuel ratio used. This, together with the different cycling times used for lean and reach periods, renders comparison of the catalyst behaviors reported in the literature often quite difficult. A possible way to alleviate this problem is the use of model gas compositions which closely mimic the composition of real engine exhaust gas. Unfortunately, even these model gas compositions vary in the literature, which makes comparison between the various studies and the drawing of general conclusions concerning the influence of gas composition even more difficult. CO/ H₂ can vary from 3 to 2 in the engine exhaust, depending on the fuel injection (Figure 18). 13,277 In the real exhaust, other carbonaceous reductants such as CO and hydrocarbons (C₃H₆, C₃H₈) as well as soot deposits are also present and affect the regeneration step of NSR.^{278–281} Epling et al. showed that, from a 1.7 L diesel engine, the maximum amount of H₂ and CO were listed as 4.1% and 8.2%, respectively.¹³ A mixture of C4 and C5 hydrocarbons was also observed in the exhaust when the engine exhaust was cycling between lean (normal) and rich conditions.

In many of the studies, H₂ was used as a reductant because of the relative simplicity of the reaction mechanism and detection. H₂ can be produced on board via steam reforming, water gas shift reaction, partial oxidation, or autothermal reforming. Some typical reactions of diesel fuels are

$$C_{12.31}H_{22.17} + 12.31H_2O \rightarrow 12.31CO + 23.4H_2$$
 (26)

$$CO + H_2O \rightarrow CO_2 + H_2 \tag{27}$$

$$C_{12.31}H_{22.17} + 6.15O_2 \rightarrow 12.31CO + 11.08H_2$$
 (28)

$$C_{12.31}H_{22.17} + 5.65O_2 + H_2O \rightarrow 12.31CO + 12.08H_2$$
(29)

Most attention has been devoted to the mechanism describing NO_x storage without H_2O and CO_2 in the gas feed. However, since H_2O and CO_2 are major components in the exhaust gas (reactions 30 and 31), it is important to understand how these exhaust gas components affect the storage and regeneration of NSR catalysts.

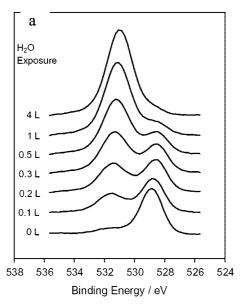
$$CO + H_2O \rightarrow CO_2 + H_2$$
 (30)
 $C_{12.31}H_{22.17} + 17.85O_2 \rightarrow 12.31CO_2 + 11.08H_2O$ (31)

Under exhaust gas conditions, Ba(OH)₂ and BaCO₃ are thermodynamically favored over BaO; therefore, NO_x storage may take place with displacement of water or CO₂ rather than by simple nitrate formation. In X-ray photoelectron spectroscopy studies of the exposure of a BaO(110) surface on a Cu(111) substrate to water vapor, even at very low exposure, a second O(1s) peak, denoted O (531), became evident at ca. 531.5 eV (Figure 19a).²⁸² As the exposure was increased, this new peak increased in intensity while the original O (1s) peak decreased in intensity. The O (1s) binding energy of 531.0–531.4 eV, after H₂O exposure, confirmed Ba(OH)₂ formation. As a result of CO₂ exposure of a BaO(110) surface, the Ba 3d_{5/2} peak maximum was gradually shifted by ca. 0.6–0.8 eV to higher binding energy, confirming formation of BaCO₃ (Figure 19b).

The following section summarizes the impact of different reducing gases and the role of CO₂ and H₂O in the NSR storage and regeneration mechanism.

5.1. Reducing Gas

Fridell et al. in the early days of NSR were probably the first researchers who reported a study in which they systematically utilized different feed gases such as H_2 , CO, C_3H_6 , and C_3H_8 during storage of NO_x ; however, they did not find any marked difference between the different reducing agents.²⁶³ But with the increase in CO_2 concentration in the



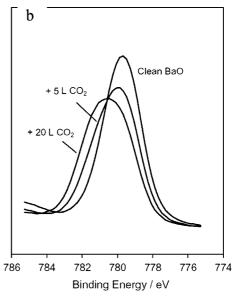


Figure 19. (a) O (1s) XP spectra of BaO after exposures to H_2O at room temperature. (b) Effect of CO_2 exposure on the Ba $3d_{5/2}$ XP spectra. XPS studies were carried out by dosing H_2O , and CO_2 from the background, and these exposures are quoted in Langmuirs (1 Langmuir = 10^{-6} Torr s). XPS were calibrated using the Cu $2p_{3/2}$ and Cu 3p peaks (with assigned binding energies of 932.66 and 75.13 eV, respectively) of the Cu(111) substrate. Reprinted with permission from ref 282. Copyright 2006 Elsevier B.V.

feed gas, the NO_x storage was affected significantly, whereas an increase in C₃H₈ concentration did not considerably affect the storage. Erkfeldt et al. demonstrated that CO and C₃H₆ play opposite roles in storage of NO_x. ²⁸³ It is well believed that NO₂ is a preferential sorbate compared to NO, and in the presence of CO, NO₂ is reduced to NO (CO + NO₂ \rightarrow $CO_2 + NO$) and the storage is inhibited. On the other hand, C₃H₆ in the feed gas stopped the NO₂ reduction by CO and thus promoted storage. Following this work, many studies making use of different reducing agents for the regeneration step appeared in the literature. H₂ was the most effective reductant for stored NO_x in Pt-BaO/Al₂O₃ catalyst at low temperatures (100 °C) followed by CO, whereas C₃H₆ and C₃H₈ showed activity only at higher temperatures.³⁹ The findings were confirmed later by a detailed investigation on Pt-BaO/Al₂O₃ from Abdulhamid et al.; however, C₃H₈ did not work for this catalyst even at higher temperature (350 °C).³⁷ They observed NH₃ formation when H₂ was used as a reductant, whereas N₂O was a major product when using CO as reductant. Later they showed that the different reducing agents behaved differently with changing of the noble metals (Figure 20). The trend in the ability of NO_x reduction of the reductants was also similar with Pd-BaO/ Al₂O₃ except that the Pd-containing catalyst was able to reduce stored NO_x with C₃H₈ at 350 °C.²⁹ Over Rh-BaO/ Al₂O₃, CO showed the maximum NO_x reduction at 250 and 350 °C (Figure 20b).

Further investigations showed that CO and hydrocarbons (C_2H_4 , C_3H_6) poisoned the Pt surface of Pt-Ba/Al₂O₃ in the regeneration step of NSR by carbon deposition on Pt sites, which hindered the NO dissociation, eventually making the carbonaceous reductants poorer than H_2 . ^{89,284-286} CO can be deposited on Pt sites (*) according to the following reaction: $CO + * \rightarrow CO*$ and $CO* + * \rightarrow C* + O*$. C_2H_4 , on the other hand, over Pt sites, at first lead to di- σ ethylene, followed by conversion of di- σ -ethylene to π -ethylene, and then followed the reaction leading to deposition of C on the Pt sites: $C_2H_4* + 4O \rightarrow CO_2 + 2H_2O + C*$. ²⁸⁵ Pt-Ba/Al₂O₃ is a potential catalyst for soot oxidation also (diesel particulate NO_x reduction; DPNR). ²⁸⁷⁻²⁹² NO in the lean

phase can remove the deposited C on Pt sites by two ways: (i) direct reaction, $C^* + NO \rightarrow CO + N^*$ followed by N^* $+ 2O \rightarrow NO_2$, and (ii) oxidation of NO to NO₂ on Pt sites and reaction of the formed NO2 with C, leading to the formation of CO₂ and NO.^{285,293-295} A detail discussion will follow in section 5.4. Liu and Anderson also found the order of efficiency in terms of conversion of stored NO_x to N_2 to follow $H_2 > CO > C_3H_6$, and the use of H_2 led to the lowest NO₂/NO ratio.²⁹⁶ On a Pt-Rh based catalyst, the steam reforming and water gas shift reactions were promoted by Rh to convert less active CO and HC into more active H₂, thus reducing the negative effects of CO and HC.35 Koci et al. with the help of experiments and mathematical simulations on a Pt-Rh and Ba-containing Al₂O₃-CeO₂ monolith catalyst showed that the oxygen storage capacity of CeO₂ played an important role by affecting the water gas shift reaction, steam reforming reaction, and oxidation of H₂, CO, and C₃H₆ and thus regulating the regeneration step by different reductants. 84,297 On sulfated Pt-BaO/Al₂O₃ catalyst, H₂ was by far the best reductant among the three reductants (H₂, CO, C₃H₆).²⁹⁸ The mechanism of BaSO₄ reduction by H₂ involves Pt-promoted reduction to produce either BaO, SO₂, and H₂O or BaO, H₂S, and H₂O. Irrespective of the mechanism involved, sulfate reduction by H₂ may lead to sulfur deposited on the Pt under O₂ deficient conditions. However, Liu and Anderson showed that O2 in the rich mixture was important, as it oxidized S deposited on Pt to SO₂ or if O₂ was consumed by H₂ to form H₂O, which was able to hydrolyze sulfur compounds deposited on Pt.²⁹⁸ The removal of NO_x from the Pt-BaO/Al₂O₃ catalyst was very effective when both H₂ and CO were used as reducing agents; the rate of removal was lower than that in the presence of only H₂ but higher than that in the presence of only CO.^{81,299} Szailer et al. observed intermediate species such as isocyanates bound to the oxide components of Pt-BaO/Al₂O₃ during reduction with CO.81 According to them, at low temperatures, H₂ was the primary reducing agent, whereas, at high temperatures, NCO reacted directly with NO_x to form N₂ and CO₂. On the contrary, Scholz et al. showed the

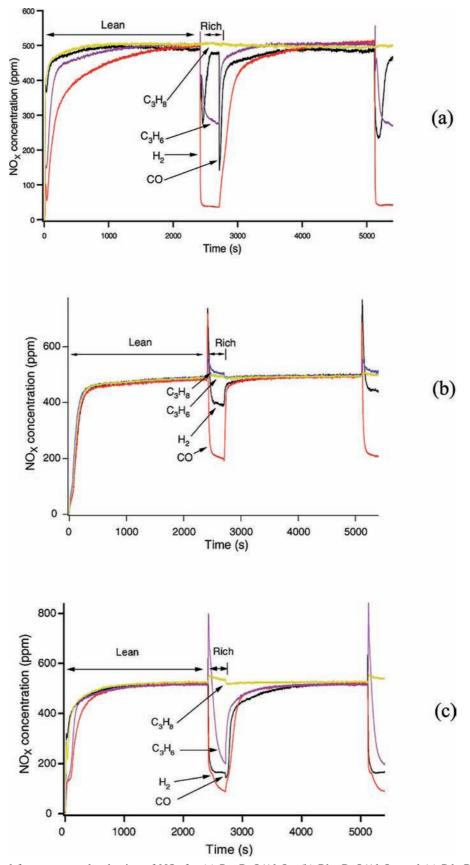


Figure 20. NO_x signal for storage and reduction of NO_x for (a) Pt-BaO/Al₂O₃, (b) Rh-BaO/Al₂O₃, and (c) Pd-BaO/Al₂O₃ at 250 °C using either CO, H₂, C₃H₆, or C₃H₈ as reducing agent. All the catalysts were first reduced in 3% H₂/Ar at 400 °C for 30 min and then stabilized in a gas mixture of 8% O₂, 400 ppm C₃H₆, 500 ppm NO₂ balanced with Ar at 500 °C for 30 min. For the lean period, the catalysts were exposed to 500 ppm NO₂ in Ar for 40 min to ensure complete saturation with NO_x. The lean period was followed by a rich period consisting of 500 ppm NO₂ and 2000 ppm CO, 2000 ppm H₂, 222 ppm C₃H₆, and 200 ppm C₃H₈ balanced with Ar for 5 min. The total flow rate during the lean and rich cycles was 1730 mL min⁻¹, corresponding to a space velocity of 52,000 h⁻¹. Reprinted with permission from ref 29 (Copyright 2006 Elsevier B.V.) and ref 37 (Copyright 2004 Plenum Publishing Corporation).

detrimental role of NCO (from the reducing gas CO), which was strongly bound to Ba sites and stopped NO_x reduction.²⁸⁵

From the results discussed, it can be concluded that depending on the noble metal and the supporting oxide, the reducing agent behaves differently. As Pt activates H_2 very easily, H_2 in all above examples has been found to be the best reducing agent at low temperature. However, over the desulfated or sulfated Pt free catalysts (BaO/Al₂O₃) under dry conditions, CO emerged as the more effective reductant than H_2 .

5.2. Water

H₂O has been shown to play a preliminary role in the storage step of NSR as a competitor for sorption sites (formation of hydroxyls) and/or influences the oxidation of NO to NO₂. Many investigations have been carried out, aiming to clarify whether H₂O plays a beneficial role or not in the overall mechanism, and plenty of mechanistic details have come out in the process. There could be a role of H₂O during regeneration also.

Lietti et al. investigated the influence of H₂O on the NO_x storage at 200, 300, and 400 °C during the lean phase for the Pt-Ba/Al₂O₃ catalyst. The presence of H₂O in the gas phase transformed the Ba component into Ba(OH)₂, and the storage followed, releasing H₂O according to the stoichiometric equations:

$$Ba(OH)_2 + {}^{1}/_{2}O_2 + 2NO \rightarrow Ba(NO_2)_2 + H_2O$$
(32)

$$Ba(OH)_2 + {}^{1}/_{2}O + 2NO_2 \rightarrow Ba(NO_3)_2 + H_2O$$
(33)

$$2Ba(OH)_2 + 2N_2O_4 \rightarrow Ba(NO_2)_2 + Ba(NO_3)_2 + 2H_2O_3$$
(34)

They found that water had a promoting action at low temperature (200 °C) and a negative effect at high temperature on the reactions involved in the NO_x storage process (the net amount of NO_x stored up to catalyst saturation in the presence of water was lower by 20-40% at higher temperatures). However, in the presence of H₂O, the reduction was fast with high selectivity. Epling et al. found that different feed concentrations of H₂O affect in different ways the trapping chemistry of the NSR mechanism; however, it did not affect the regeneration of the catalyst. 112,301 According to them, two kinds of mechanism operate in the trapping process over Pt-Ba/Al₂O₃: a rapid and complete sorption of NO_x and a slower rate of NO_x sorption with a longer time period. H₂O shortened the time of complete trapping at lower operating temperatures (175-200 °C). They further concluded that H₂O and NO_x compete for the same adsorption site on Al₂O₃ and the presence of H₂O essentially eliminated NO_x adsorption on the sites associated with Al_2O_3 . 112,178 Lindholm et al. later reached the same conclusion that the presence of H₂O affected the storage sites on Al₂O₃ but not the Ba sites.³⁰² It has been well proved that NO₂ is adsorbed better than NO itself, or NO₂ is a better precursor to sorption. In the presence of H_2O , $NO \rightarrow NO_2$ oxidation is hindered and so is the NO_x storage on the Ba component. ^{69,303,304} Cant and Patterson also concluded that the presence of H2O reduced the NO_x storage. 300

From the above discussion it emerges that water plays an unfavorable role in the lean phase of NSR. However, Kim

et al. demonstrated that H₂O was essential in formation of the bulk Ba(NO₃)₂ phase, which otherwise was not feasible with NO₂ preadsorbed thermally aged Pt-BaO/Al₂O₃ samples, irrespective of Ba loading.305 Fourier transform infrared spectroscopy along with time-resolved synchrotron X-ray diffraction techniques revealed that, in the presence of water, surface Ba nitrates converted to bulk nitrates and water facilitated the formation of large Ba(NO₃)₂ particles; this surface to bulk conversion was totally reversible and the total amount of stored NOx was unaffected by H2O.306,307 The presence of water in the rich phase prevented poisoning of the catalyst from CO and hydrocarbons.²⁸⁵ It is well established that the presence of H₂O in the feed gas enhances the soot oxidation; the NO₂ with H₂O forms some HNO₂ or HNO₃ in the gas phase which then reacts directly with the carbon on the surface. 308,309 Conflicting results about NH₃ formation in the regeneration process in the presence of H₂O have been reported. While some researchers reported no NH₃ formation in the presence of H₂O (as H₂O covered the Pt sites, resulting in reduced H coverage), 43,285 Chen and Schwank observed over Pt-Ba/Al₂O₃ in the presence of H₂O under rich conditions NH₃ as one of the major products.³¹⁰ They made use of the NH₃ by positioning a Co²⁺ exchanged beta zeolite in the downstream bed for reducing NO_x to N₂. A slightly different water treatment on BaO/Al₂O₃ samples at room temperature had shown formation of bulk BaCO₃ components (carbonation reaction) from BaO/BaCO₃ or BaAl₂O₄ via dissolution/reprecipitation processes which in turn showed enhanced NO_x uptake due to a promotion of Pt-Ba contact caused by morphological and structural rearrangements of the barium species (Figure 21). 120,153,311 However, at lower temperature (200 °C) the trend was opposite, which behavior was not addressed by the authors.

5.3. Carbon Dioxide

CO₂ originates from fuel combustion and from oxidation of CO in real gas exhaust. Therefore, the key question is what kind of role does CO₂ play? Is it beneficial or detrimental for NSR activity over conventional Pt—Ba/Al₂O₃ catalyst and how does it act over other catalysts? In the following section, we will witness the different roles CO₂ plays in different steps (storage and regeneration) of NSR catalysis.

It is well-known that CO₂ adsorbs specifically on basic sites of metal oxides, both hydroxyl groups and oxygen ions, giving rise to bicarbonate-like species and to a variety of carbonate-like species. In fact, CO₂ adsorption on Pt-Ba/ Al₂O₃ catalyst showed the presence of linear CO₂ coordinated to Ba²⁺ ions and also the presence of a large variety of surface carbonate-like species (bridged, chelating, and very small amounts of monodentate carbonates on Ba sites). 128 Hodjati et al. were among the first researchers who addressed the effect of CO₂ in the storage step, and strong monodentate and bidentate carbonate formation was observed on bulk BaO, hindering the NO_x sorption because of the high stability of the carbonates over nitrates, whereas, on the other hand, CO₂ had no impact on BaAl₂O₄. ¹⁶⁰ Formation of BaAl₂O₄ from Pt-Ba/Al₂O₃ during NSR operation is a common phenomenon, which we have discussed in section 3.2. Casapu et al., in this regard, also showed that even though CO₂ did not react with BaAl₂O₄, BaCeO₃ (formed from Pt-Ba/CeO₂) on treatment with 20% CO₂/He decomposed into BaCO₃ and CeO₂ between 400 and 980 °C, thus playing a beneficial role in NSR chemistry of Pt-Ba/CeO₂ catalysts. 126 In a study

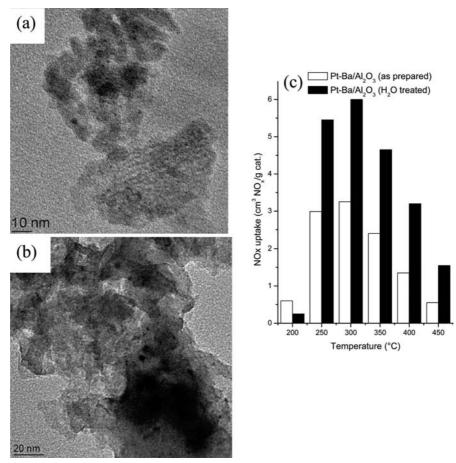


Figure 21. (a) TEM micrograph of an as prepared Pt-BaO/Al₂O₃ sample with highly dispersed Pt clusters smaller than 2 nm; (b) water treated Pt-BaO/Al₂O₃; (c) NO_x uptake of as-prepared and water treated Pt-Ba/Al₂O₃ as a function of temperature. The rich period was 1 min, and the lean period was 4 min. Lean period: 200 ppm NO, 12% O₂. Rich period: 200 ppm NO, 1.33% H₂, 4% CO, 1330 ppm C₃H₆. In both periods, H₂O and CO₂ were 10%. Reprinted with permission from ref 120. Copyright 2008 Springer Science & Business Media, LLC.

on storage of NO_x, Lietti et al. observed over Pt-Ba/Al₂O₃ the net quantity of NO_x stored at 300 °C was less in the presence of CO_2 (4.46 × 10⁻⁴ mol/g catalyst in the presence of 0.3% CO₂ while the sorption amount was 5.81×10^{-4} mol/g catalyst in the absence of CO₂), concluding an inhibiting effect of CO_2 on NO_x storage. 118 Fridell et al. also showed a similar effect of CO₂ on NO_x storage, although the storage amount was quite high $(21.6 \times 10^{-6} \text{ mol of NO}_x)$ in the absence of CO_2 and 16.1×10^{-4} mol of NO_x in the presence of 12% CO₂).²⁶³ Over Al₂O₃ and Al₂O₃-SiO₂ supported catalyst, the detrimental effect of CO2 increased with both Ba loading and storage temperature, whereas, over Pt-Ba/Ce_{0.7}Zr_{0.3}O₂ catalyst, there was no significant CO₂ effect related with Ba loading or temperature.312

Balcon et al. observed that CO₂ in the reducing gas mixture on Pt-Ba/Al₂O₃ helped to release more NO₂ in the regeneration phase concluding to the equilibrium: $CO_{2,gas}$ + * $NO_{2,stored}$ \leftrightarrow * $CO_{2,stored}$ + $NO_{2,gas}$. Later, Amberntsson and co-workers also supported formation of surface Bacarbonates which replaced the nitrates and promoted the NO_x release in the rich phase (Figure 22).314 Contradictory results showed that there was an inhibiting effect of CO₂ in the regeneration process, and above 300 °C, once all the stored reactive NO_x had been reduced by H_2 , CO was formed through the reverse WGS reaction ($CO_2 + H_2 \leftrightarrow CO +$ H₂O).¹¹⁸ Lietti and co-workers recently showed that the reduction of NO_x by H₂ over Pt-Ba/Al₂O₃ consists of two steps (reduction of nitrates to NH₃ by H₂ followed by

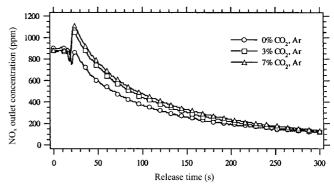


Figure 22. Release of NO_x at different CO_2 concentrations. The sample was a commercial monolith catalyst (cylindrical, $\phi = 2.0$ cm, L = 3.3 cm) containing noble metals (NM) and BaO (washcoat loading 160 mg/cm³). The lean mode was performed in a mixture of 10% O₂, 5% CO₂, 475 ppm NO, and 475 ppm NO₂ balanced to 100% with Ar. In rich mode, CO₂ was varied from 0 to 7% CO₂ with 5% H₂O. The space velocity was 10,000 h⁻¹. Reprinted with permission from ref 314. Copyright 2001 Elsevier B.V.

reduction of nitrates to N₂ by NH₃) and CO₂ inhibited both reduction steps by poisoning the Pt sites with the CO formed by the reverse WGS reaction.³¹⁵ The lesser production of NH₃ in the reduction of nitrates to NH₃ by H₂ in the regeneration period of NSR was also observed with Mg based catalysts.316 Epling et al. also showed that the presence of CO₂ decreased the trapping ability of the Pt-Ba/Al₂O₃ catalyst by producing thermally and chemically more stable

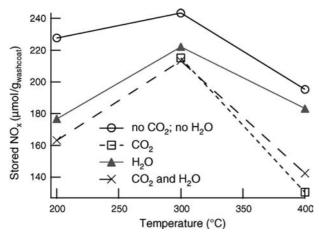


Figure 23. Different amounts of stored NO_x in the presence and/ or absence of CO₂ and H₂O from one lean cycle conducted over Pt-Ba/Al₂O₃. The gas composition was 300 ppm NO and 8% O₂; 3%CO₂ and/or 3% H₂O. The duration of the lean period was 10 min. Reprinted with permission from ref 302. Copyright 2007 Elsevier B.V.

bulklike BaCO₃ as well as affected the regeneration phase at higher temperatures. They observed formation of stable bidentate carbonate species up to 500 °C, and at higher temperatures, the bidentate carbonate species transformed into unidentate species. ^{13,112,130,301}

Finally, it emerges from the above discussion that, in the storage step, CO_2 has a detrimental role in NO_x sorption over $Pt-Ba/Al_2O_3$ and a beneficial role for $Pt-Ba/CeO_2$. In the regeneration step, the role of CO_2 is quite diversified, as reported. Desulfurization was conducted by researchers with CO_2 in the regeneration phase; however, the exact role of CO_2 was not addressed. 180,220

Much evidence showed the combined effect of CO₂ and H₂O on the NSR mechanism over Pt-Ba/Al₂O₃. Recently, kinetic modeling showed NO_x adsorption decreased from 29.7 to 12.6 mol/m³ when $CO_2 + H_2O$ were present in the feed stream.317 Both of these feed components have a negative impact on the storage propertiy; there seems to be no synergistic effect, but H₂O had a stronger effect compared to CO₂.³¹⁸ In the presence of both CO₂ and H₂O in the feed, the NO \rightarrow NO₂ oxidation was stopped and the multiple storage sites (surface and bulk Ba sites and γ-Al₂O₃) became inactive and NO was adsorbed only on surface BaCO₃ sites, resulting in only 30% barium utilization. 69,285,304 Lindholm et al. clarified that H2O had an effect only on Al2O3 while the storage of Ba was solely influenced by CO₂ (Figure 23).302 Recently, they proposed a detailed kinetic model in accordance with their experimental findings.³¹⁹ According to Lindholm et al., during the regeneration period, NH₃ formation is promoted by the presence of CO₂; however, Mulla and co-workers did not find any role of CO₂ in NH₃ formation. 83,302 Thus, it seems that more clarifying research is needed here for giving a final answer.

5.4. Impact of Soot

The presence of soot obstructs NSR catalytic activity primarily by two ways. Carbon deposition on noble metal sites from CO and hydrocarbons blocking its oxidation activity has been briefly discussed in section 5.1. The diesel particulate filter (DPF) system, which is in use today for achieving low soot emission by diesel engines, is based on the oxidation of deposited soot either by O₂ and/or by the

gas mixture NO_2/O_2 . Incidentally, the soot oxidation reaction rates are found to be in the order of $NO_2 > N_2O \approx NO \approx O_2$. In the temperature range of 250–450 °C, soot is oxidized by O_2 and NO_2 , with the latter leading to CO_2 and NO formation, which in turn is likely to lower NO_2 storage efficiency.

$$C + 2NO_2 \rightarrow CO_2 + 2NO \tag{35}$$

$$C + NO_2 \rightarrow CO + NO$$
 (36)

$$C + NO + {}^{1}/_{2}O_{2} \rightarrow CO_{2} + NO$$
 (37)

Atribak et al. in this regard showed that NO_x is stored in CeO₂, and once NO₂ is produced by CeO₂, there was a competition between the NO₂-soot reaction and NO_x storage on the catalyst. $Ce_{0.76}Zr_{0.24}O_2$ and CeO_2 were more active than TiO₂ and ZrO₂ for soot oxidation and mainly yielded CO₂ (reactions 35 and 37), whereas TiO₂ and ZrO₂ catalyzed soot oxidation leading to higher percentages of CO (reaction 36).322,323 The DPNR technique developed by the Toyota group consists of soot oxidation and a NSR catalyst, which has the unique ability to remove simultaneously both soot and NO_x. ^{287,324} Soot abatement occurs under lean conditions; soot removal is however claimed to occur during the rich phase as well. ^{293,324} Pt-Ba/Al₂O₃ in cyclic lean/rich conditions was able to simultaneously remove both soot and NO_x, and when CO₂ was present in the feed stream, the presence of soot did not significantly affect the activity in the NO_x storage-reduction of the Pt-Ba/Al₂O₃ catalyst. ²⁹³ Potassium, when replaced with Ba, showed a higher soot oxidation property (Pt-K/Al₂O₃ decreased the soot ignition temperature by 100-150 °C compared to Pt-Ba/Al₂O₃) but had comparable NO_x storage capacity. ^{288,325} These soot oxidations are generally carried out by mixing the soot with the catalyst by physical mixing or an impregnation technique. Matarrese et al. observed the presence of a synergistic effect in Pt-K/ Al₂O₃ despite the mixing, which is thought to be the key factor of enhanced soot oxidation ability. 326 Simultaneously, high soot combustion ability and NO_x storage capacity were also demonstrated by 4.5-10 wt % K loading on La₂O₃ or Cu-CeO₂-Al₂O₃ catalyst. 327,328

However, one of the very few reports of the detrimental effects of soot combustion to NSR activity was by Krishna and Makkee, who have reported that the NO_x storage capacity of NSR materials decreased upon aging under soot oxidizing conditions.²⁸⁸ Sullivan et al. demonstrated that the presence of soot is detrimental to the performance of a NO_x trap, since it offers another reaction route for the utilization of NO₂ rather than the desired formation of Ba(NO₃)₂ and also the trapping BaO component with a Pt active phase does not promote carbon combustion.²⁹⁵

6. Theoretical and Surface Science Studies

Theoretical and surface science studies on well-defined model catalysts have brought important insight into various aspects of NSR catalysis such as the nature of molecular interactions, structural properties, and details of the reaction kinetics. Some interesting findings emerging from these studies will be addressed in the following.

6.1. Theoretical Studies

Theoretical studies on NSR catalysis can be divided into two major segments: (1) studies of important molecular

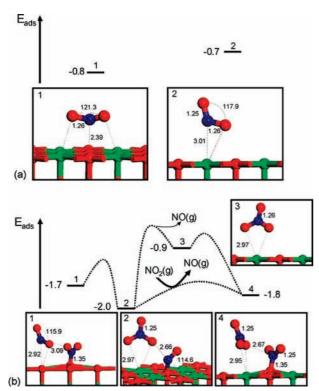


Figure 24. Potential energy diagram for the *x*NO₂/BaO(100) system calculated using the slab representation of the BaO(100) surface. (a) Single NO₂ adsorption over a surface oxygen site and a surface barium site. (b) Pairwise NO₂ adsorption on the BaO(100) surface. Color code: green is barium, red is oxygen, and blue is nitrogen. Reprinted with permission from ref 330. Copyright 2004 Elsevier B.V.

interactions (e.g., NO₂ adsorption on BaO(111)) and structural properties using density functional theory (DFT), and (2) ab initio and other computational techniques and kinetic modeling.

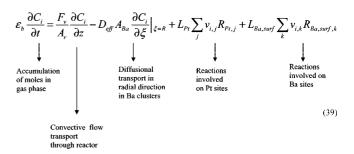
DFT has grown into the standard theoretical framework for large scale first principles calculations. $^{132,329-331}$ To model the BaO(100) surface (the lowest energy surface), a two-layer slab was cleaved from the geometry optimized bulk structure and modeled by a periodic supercell. 132 Following the usual convention, the adsorption energies $E_{\rm ads}$ were calculated according to the following expression:

$$E_{\rm ads} = E_{\rm slab+molecule} - (E_{\rm slab} + E_{\rm molecule})$$
 (38)

where E_{molecule} is the energy of the isolated adsorbate in its equilibrium configuration, $E_{\rm slab}$ is the total energy of the isolated slab, and $E_{\text{slab+molecule}}$ is the total energy of the adsorbate and slab. Single and pairwise NO2 adsorptions were explored, allowing the NO₂ molecules to fully relax.^{330,331} In Figure 24a and b, the structural results for single and pairwise NO₂ adsorption on the BaO(100) surface are shown. Two adsorption sites are atop barium and atop oxygen, respectively. The calculated adsorption energies for NO₂ over these sites are similar, -0.8 and -0.7 eV. However, despite the similar adsorption energetics, the two adsorption configurations differ significantly in chemical interaction. Single NO₂ adsorption on BaO produced nitrite species by abstraction of an electron from surface oxygen ions; thus, a delocalized electron hole is created in the surface O(2p) states, activating oxygen toward further NO₂ adsorption. The second NO₂ molecule approaches the surface with the nitrogen end and is adsorbed on the activated surface, making

a nitrite-nitrate pair. Electron-hole separation implied a nonlocal mechanism for pair formation. Eventually, by heterogeneous oxidation, the remaining nitrites form an allnitrate final product.³³² In section 4.2.1 we have also discussed the NO₂ sorption on a BaO surface using periodic supercell DFT within the gradient corrected local density approximation. 131,133 Schneider et al. examined the NO2 adsorption on BaO(100) films supported on Pt and observed that the metal stabilized NO2 adsorption on the BaO surface. 333 NO2 adsorption stabilized the bonding combinations of these orbitals (the bonding Pt (d_{z^2}) + O (p_z) state was peaked at -3.5 eV below the Fermi level for BaO/Pt, whereas, in the case of NO₂/BaO/Pt, this combination is located at -4 eV). The authors described the NO_x sorption to alkaline earth metals as a Lewis base interaction. 334,335 They demonstrated the NO_x chemisorption on MO to occur via one-electron oxidations or reductions that produce strong Lewis acids and bases (M is the alkaline earth metal).

Kinetic modeling provides better insight into the interdependencies of the different experimental parameters and their influence on the performance of the NSR system. If based on intrinsic kinetic parameters, the ideal plug flow reactor model for powder catalysts in NSR can also give insight into the reaction mechanism. In section 3.1 we have discussed the NO oxidation revealed by kinetic modeling. Probably the most detailed kinetic model to describe NO_x storage and reduction was developed by Scholz et al. 69,285,304,336,337 The plug flow reactor model used a global reaction kinetic model, which considered that NO_x storage occurs on three different types of barium sites: surface, semibulk, and bulk sites. Surface sites were considered to be the most reactive, followed by semibulk sites, whereas bulk sites were not active in NO_x trapping. It was assumed for the reactor to model that (i) the pressure was uniform and equal to ambient throughout the packed bed, (ii) external and internal diffusion limitations were absent, and (iii) diffusion of the gas-phase components was considered only from the external surface of the barium clusters to the interior of the barium clusters. The gas bulk in the packed bed reactor was considered in axial direction z, and the gas in the spherical barium clusters with the partial coordinate ξ . The change in the concentration of the different components as a function of time at each axial position was described by eq 39,



where ε_b is the bed porosity, C_i is the gas-phase concentration of component i (NO, NO₂, O₂, H₂, and N₂), t is time, F_v is the volumetric flow rate, A_r is the surface area of the reactor, z is the axial length, $D_{\rm eff}$ is the effective gas diffusion coefficient, $A_{\rm Ba}$ is the specific Ba surface area, ξ is the radial direction in the Ba clusters, $L_{\rm Pt}$ is the specific number of moles of active Pt surface sites, $R_{\rm Pt}$ is the NO reduction rate, $L_{\rm Ba,surf}$ is the specific number of moles of active Ba surface sites, and $R_{\rm Ba_surf,k}$ is the NO_x storage and regeneration rate

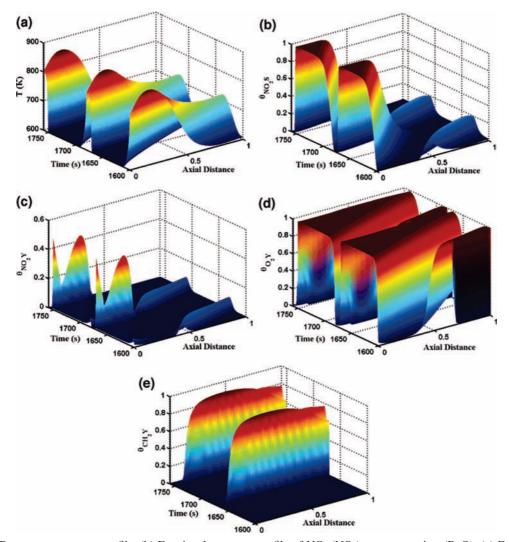


Figure 25. (a) Reactor temperature profile. (b) Fractional coverage profile of NO_x (NO_2) on storage sites (BaO). (c) Fractional coverage profile of NO_x (NO_2) on platinum sites (Y sites). (d) Fractional coverage profile of oxygen (O) on platinum sites (Y sites). (e) Fractional coverage profile of reductant (NO_2) on platinum sites (Y sites). Feed, hydrocarbon during rich phase is 1.3% and during lean phase is 0%; the feed temperature is 330 °C; feed NO_2 is 500 ppm and feed oxygen is 5%. Lean phase time is 70 s, and rich phase time is 10 s. Reprinted with permission from ref 348. Copyright 2005 American Chemical Society.

at Ba surface sites. By solving the equation using gPROMS (Process Systems Enterprise) software and by fitting it to observed experimental findings, the authors could reach the conclusion of involvement of the three types of Ba storage sites. Mostly, surface barium sites contribute significantly in NO trapping and only a small fraction of the total barium participates in NO storage. Many researchers have studied the dispersion of the noble metals and storage sites by kinetic modeling and fitting the data with the experimental observation. 42,338

We discussed the synthesis of the monoliths (the border between "catalyst" and "reactor" vanishes in these reaction systems) in section 4.5; now we will address the issues attached with the modeling of catalytic monolith converters, which have drawn attention in NO_x abatement techniques. $^{339-341}$ A one-dimensional mathematical modeling of NO oxidation and NO_x storage on $Pt-BaO/Al_2O_3$ monolith catalysts often requires assumptions such as: (i) uniform radial flow distribution and no gas phase accumulation, i.e. constant gas velocity and no pressure drop along the reactor, (ii) no axial diffusion, dispersion in the gas phase, (iii) no diffusion barrier in the washcoat, and assuming an isothermal monolith reactor. 342 Recently, Xu

et al. found that external mass transfer had significant effects on the concentrations of the reactants in the washcoat of a catalytic monolith reactor and has to be considered when estimating kinetic parameters and for interpretation of the results.343 Mathematical modeling could evaluate the 28 step NSR reaction mechanism³⁴⁴ and could reveal the importance of Pt and Ba geometrical proximity³⁴² and also the sulfur deactivation. 345,346 Koci et al. developed a spatially pseudo-1D, multiphase model of an adiabatic catalytic monolith and fitted it to the experimental data. 347 NO_x were stored on the washcoat surface during the lean phase, and the storage centers were gradually saturated from the front to the rear part of the converter. Due to the high concentrations of combustibles and the exothermicity of the reactions, additional heat was generated within the rich phase and the temperature of the monolith increased. Subsequently, during the lean phase, the temperature of the monolith decreased back to its original value, gradually from the front to the rear part of the reactor. Later, the authors showed that the storage and reduction depend on the lean/rich phase duration, monolith length, reaction temperature, and nature of the reductants.²⁹⁷ Based on a similar hypothesis, Sharma et al. further showed the washcoat temperature (Figure 25a), where with the introduction of hydrocarbon, ignition occurs at the front entrance, while there was a rapid cooling when the hydrocarbon pulse was stopped. Space—time profiles of NO_2 coverage on BaO and Pt sites (Figures 25b and c) concluded that, during the rich pulse, there was a corresponding rapid decrease in the coverage of NO_2 on BaO storage sites due to the local temperature increase. Figures 25d and e show the O_2 and hydrocarbon (CH₂) coverage on Pt sites over two complete cycles after the reactor has reached a periodic state. ³⁴⁸

Independent computational calculations such as DFT or ab initio or kinetic modeling tagged with experimental results helped us determine and understand the mechanistic aspect.

6.2. Studies on Well-Defined Model Catalysts

A relatively recent approach to gain fundamental insight into NSR catalysis uses well-defined model catalyst systems. In the present review, many times during the discussion of the NSR mechanism and morphology of Ba during the storage process, we have hit the issue of material properties of NSR catalysts originating from such studies, since these well-defined model systems enable important insights regarding the structure—functionality relationships. While most of these studies have been performed under UHV conditions, studies have also been performed at normal pressure. High-field, high-resolution solid-state $^{27}{\rm Al~MAS~NMR}$ showed penta-coordinated ${\rm Al}^{3+}$ sites of $\gamma{\rm -Al_2O_3}$ in BaO/Al₂O₃ model powder catalysts, and $^{15}{\rm N}$ solid state NMR spectroscopy showed the distinct bulk and surface nitrate species on the model catalyst. 142,349

Impressive UHV studies³⁵⁰⁻³⁵² have been performed in a combined ultrahigh vacuum (UHV) surface analysis chamber and elevated pressure reactor system equipped with powerful and high resolution surface probes such as X-ray photoelectron spectroscopy (XPS), Auger electron spectroscopy (AES), low-energy electron diffraction (LEED), low-energy ion scattering spectroscopy (LEISS), quadrupole mass spectroscopy (QMS), and an infrared reflection absorption spectroscopy (IRAS) setup. For a model BaO/θ-Al₂O₃/NiAl(100) catalyst, θ -Al₂O₃ ultrathin films were grown with a thickness of 6 ± 2 Å. The surface was prepared by carrying out Ba deposition and subsequent oxidation in a stepwise fashion. 350-352 Figure 26 shows the stepwise formation of the model catalyst. 143 Many critical mechanistic aspects, such as (i) the formation of a BaAl₂O₄ phase during the storage step, (ii) involvement of the Al₂O₃ phase in the reaction mechanism, (iii) formation of condensed D_{2h} -N₂O₄ (O₂N-NO₂) layers, where the N-N bond is oriented parallel to the alumina surface, or (iv) nitrite—nitrate pair formation or decomposition of nitrites, leaving one O atom behind that helped formation of BaO₂, were probed by surface techniques over the model catalysts. 143,144,152,161,353 Combination of surface science experiments on model catalysts with periodic DFT calculations developed a clear understanding of the nature of both the surface and bulk types of nitrates during NO_x

Combination of DFT with MB/IRAS (molecular beam/time-resolved IR reflection absorption spectroscopy) to investigate surface nitrate formation on model BaO/Al₂O₃ thin films prepared on a NiAl(110) single-crystal surface resulted in a different dimension from the work of Desikusumastuti et al. 95,138,140,146 The MB/IRAS experiments were performed in an UHV (ultrahigh vacuum) apparatus equipped with four effusive molecular beams and one supersonic

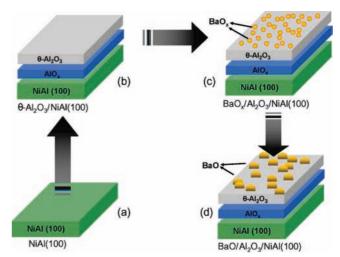


Figure 26. Preparation protocol that is followed to synthesize the BaO/θ -Al₂O₃/NiAl-(100) model system: (a) clean NiAl(100) bimetallic substrate; (b) θ -Al₂O₃ ultrathin film grown on NiAl(100); (c) Ba deposition on θ -Al₂O₃/NiAl(100) in UHV at room temperature; (d) BaO/θ -Al₂O₃/NiAl(100) model system obtained after stepwise Ba deposition/oxidation (O₂(g) was introduced into the chamber at room temperature). The sample temperature was quickly increased to 525 °C in the presence of O₂(g), and the sample was annealed at 525 °C for 15 min. These dosing and annealing sequences were repeated for each Ba deposition step (total number of deposition/oxidation steps = 8; total time of Ba deposition = 50 min). Reprinted with permission from ref 143. Copyright 2006 Elsevier Inc.

molecular beam to be superimposed on the sample surface. The system was also equipped with a FTIR spectrometer, a beam monitor for alignment and intensity calibration of the beams, two quadrupole mass spectrometers (QMS), a vacuum transfer system with a high pressure cell, and necessary preparation tools such as evaporators, a gas doser, a quartz microbalance, an LEED/Auger, an ion gun, etc. The NO₂ beam was generated from an effusive beam doser and modulated by a valve system. The DFT calculations were applied with the gradient corrected exchange correlation functional. To synthesize the model catalyst, two cycles of oxidation in 10^{-6} mbar O_2 and UHV annealing were carried out, resulting in an Al₂O₃ film on NiAl(110). For BaO deposition, the Ba source was calibrated using a quartz microbalance and Ba was deposited at room temperature at typical rates of 1.0×10^{13} atoms cm⁻² s⁻¹ and subsequently oxidized by exposure to 6×10^{-7} mbar O_2 , for 900 s. At low NO₂ exposure, the formation of surface nitrites prevailed for both the Al₂O₃/NiAl(110) support and the BaO/Al₂O₃/ NiAl(110) (Figure 27). With continuing exposure, surface nitrates also were formed, followed by ionic nitrates in the limit of high exposure and temperature. All the IR spectra were confirmed by the DFT calculations. It was observed that, over Al₂O₃/NiAl(110) at low temperature, NO₂ adsorbed under formation of the D_{2h} dimer (N_2O_4), whereas, at higher temperature, there was no molecular species, but only bridging nitrates, which increased with higher NO₂ exposure. On the other hand, over BaO/Al₂O₃/NiAl(110) at low temperature NO₂ exposure, there was simultaneous formation of nitrite and nitrate, and with increased temperature, both bridged and monodentate nitrates were observed.

7. Reactor Configuration

When considering the different reactor configurations which are employed for NSR, we have to distinguish between

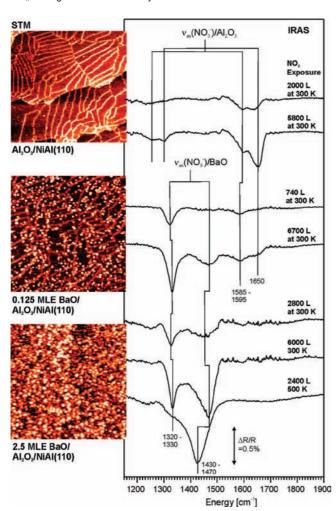


Figure 27. Structure and vibrational properties of the model systems for NO_x storage. Left: STM images (200 \times 200 nm²), showing the pristine Al₂O₃ film on NiAl(110) (top), smaller BaO particles on the Al₂O₃ film (middle, 0.125 MLE BaO, 1.8 nm BaO particles), and larger BaO nanoparticles on the Al₂O₃ film (bottom, 2.5 MLE BaO, 4.6 nm BaO particles). Right: IRAS of the NO stretching frequency region after exposure to different doses of NO₂. Reprinted with permission from ref 141. Copyright 2008 Elsevier

research reactors and technical reactors. Research reactors range from laboratory microreactors to bench scale reactors. The latter allow a better adaptation to the conditions encountered in technical reactors but are considerably more complex and are preferably connected to an engine providing real exhaust gas as feed gas to the reactor. Figure 28 shows schematically a reactor setup for laboratory research.³⁵⁴ For laboratory investigations, a typical flow type reactor consists of a quartz tube horizontally or vertically placed inside an electric furnace (horizontally in Figure 28). Normally, the measured and preheated gases are fed into the flow reactor tube and the effluent is analyzed by MS or GC. 304,337,355 Specific reactors have been designed to carry out operando spectroscopy (e.g., in situ FTIR, 94,356,357 Raman 358) for the analysis of chemisorbed species and reaction intermediates on the catalyst surface as well as in the gas phase at working conditions.

Although reactor optimization is normally not a big issue in laboratory research reactors, it needs to be kept in mind that reaction engineering parameters such as gas hourly space velocity (GHSV), retention time, and lean/rich timing span strongly influence the overall performance of the NSR

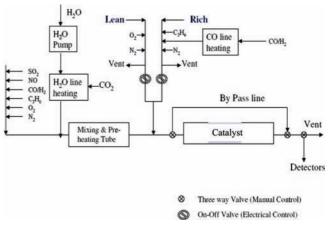


Figure 28. Schematic representation of a typical laboratory flow reactor. Pulsed gases pass through a catalyst in a quartz tube to the detector. Reprinted with permission from ref 354. Copyright 2007 Springer Science & Business Media, LLC.

system. Powders or cylindrical monolith catalysts are loaded into the flow reactors. The flow rates are varied for a wide range of space velocity of 29,000-100,000 h⁻¹ at standard temperature and pressure. 337,344 Gas hourly space velocity (GHSV) is a crucial parameter controlling NSR kinetics and efficiency. At high space velocities, kinetic limitations reduce the NO conversion and NO_x trapping efficiency. Higher trapping efficiencies are achieved at lower space velocities when the NO oxidation approaches the equilibrium conversion limit.359

Figure 29 shows a schematic representation of a bench laboratory flow reactor setup connected to an operating engine. This setup allows to simulate the real technical NSR conditions. Desulfurization, which is a common occurrence, normally takes place every few hours in the case of low sulfur (<50 ppm) fuels, and for diesel fuels with a more regular sulfur level (300 ppm), the desulfurization must be performed more frequently. This higher temperature (650-750 °C) desulfurization process uses a large amount of secondary energy that has a deleterious impact on the overall engine efficiency. The bench scale NSR system shown in Figure 29 allows for studying the desulfurization step.360-362 The system utilizes a rotating monolithic catalytic cartridge to divide the exhaust flow into two streams. The partial flow NO_x desorption can be optimized to a higher temperature window and at a lower space velocity. This setup has the potential to be energy efficient as a result of (i) the partially restricted flow, saving supplemental energy during NO_x trap regeneration/desulfurization, and (ii) the periodic flow reversal for exhaust energy recovery during oxidation.

Another conceptionally different technique which has been employed to study NSR is the temporal analysis of products (TAP), which measures and analyzes the products of a transient catalysis reaction and was originally developed by Gleaves et al.³⁶³ The basic working principle of the TAP involves the transient assessment of a catalyst through various reactant feed protocols, including single reactant pulsing, dual reactant pulsing, and pulsing-probing. TAP operation is carried out at low pressure (ca. 10^{-5} to 10^{-7} Torr), and the primary gas phase transport process is Knudsen diffusion type. While the transient total pressure is higher during the pulsing, a pulse of sufficiently small intensity ensures operation in the Knudsen regime. The TAP affords the ability to measure transient kinetics at prescribed catalyst states. In the context of NSR, this corresponds to extracting kinetics

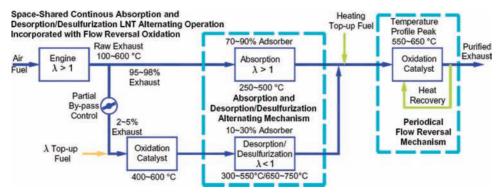


Figure 29. Schematic representation of a bench NSR laboratory reactor setup connected to an engine. Reprinted with permission from ref 360. Copyright 2004 Elsevier Ltd.

at a precise degree of nitration, as convincingly demonstrated by Harold and co-workers, and focuses on storage.²⁷³ In a TAP reactor setup, a catalyst sample is usually set in a tube under vacuum conditions (in a chamber that is coupled to a large-capacity pump for differential pumping) in the temperature controlled catalytic microreactor and small amounts of gas are pulsed over the bed of the catalyst with the help of a high-speed pulse valve assembly. The response of product and reactant gas at the outlet of the reactor is measured by a mass spectrometer with high time resolution.²⁷³ TAP avoids the disadvantages of traditional flow and integral reactors, and it affords the ability to conduct transient experiments under constant catalyst state conditions, which provided crucial kinetic data on the highly complex NSR process. Medhekar et al. by NO pulses and NO/H2 pump-probe at 350 °C revealed the closely coupled Pt/Ba interfacial mechanism in NSR. 70 Sakamoto et al. using a TAP reactor observed that the release of NO_x from Pt-K/Al₂O₃ was faster than that from Pt-Ba/Al₂O₃ in the NO_x regeneration process.¹⁸¹

Combinatorial or high throughput analytical techniques are also used for studying the NSR activity.364,365 High throughput experimentation has also been applied for studying the NSR catalysis over a wide range of reaction conditions and catalyst compositions. It is based on a guiding principle of collection of modular traditional plug flow reactors. 366 A rapid switching four-way valve system is used to alternate between the lean and rich gas mixtures so that the lean/rich/lean transitions in these experiments are almost instantaneous.³⁶⁷ Hendershot et al. carried out high throughput experimentation with 16 vertical parallel flowthrough reactors with catalyst powder supported on a stainless steel frit and multiple mass flow controllers to explore a wide range of feed gas concentrations, compositions, and flow rates. ^{203,364,365,368,369} Each reactor contained a thermocouple in the catalyst bed, and the temperatures of all 16 reactors were continuously displayed and recorded by software. The reaction products from all 16 reactors were analyzed simultaneously using FTIR imaging. A linear model was developed relating a performance criterion (Y) to the parameters of interest $(X_1, X_2, X_3,...)$ in the form of

$$Y = C + \alpha_1 X_1 + \alpha_2 X_2 + \alpha_3 X_3 + \dots + \alpha_{12} X_1 X_2 + \alpha_{13} X_1 X_3 + \dots + \alpha_{123} X_1 X_2 X_3 + \dots$$
(40)

where C is a constant and α_i are coefficients fitted to the experimental data. The authors studied the reactions with respect to performance criteria: (i) saturation NO_x storage capacity, (ii) total N₂O production, and (iii) the steady state lean NO_x reduction to both partially reduced N₂O and fully

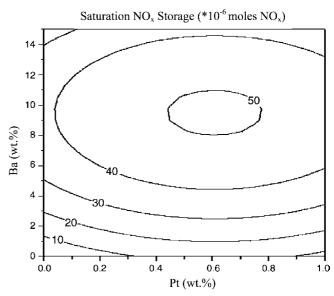


Figure 30. Model prediction showing the saturation NO_x storage as a function of Pt and Ba weight loading. The maximum saturation NO_x storage is predicted at 0.63 wt % Pt and 9.1 wt % Ba. The inlet lean concentration was 2000 ppm NO, 8% O2, and 1% CO at a temperature of 325 °C and a space velocity of 42,500 h^{-1} . Reprinted with permission from ref 364. Copyright 2004 Elsevier

reduced N₂ as a function of NO_x concentration, O₂ concentration, reductant concentration, reductant type, and space velocity. When the saturation NO_x storage capacity was plotted as a function of Pt and Ba weight loading (Figure 30), it was observed that the predicted maximum in saturation NO_x storage capacity occurred at a catalytic composition of 0.63% w/w Pt and 9.1% w/w Ba.

A critical reaction engineering parameter is the time span for the lean and rich phase which controls the cyclic steady state. Muncrief et al. evaluated that the periodic addition of reductant (here, C₃H₆) to the catalyst bed could result in timeaveraged NO_x conversion approaching the rich conversion limit obtained under steady state conditions. In addition, the C₃H₆ injection policy (feed composition, reductant pulse duration, overall cycle time, and temperature) had an effect on NO_x conversion.³⁷⁰ They showed that, to achieve a high time-averaged NO_x conversion, the gas composition during the reductant (C₃H₆) injection should be net reducing and C₃H₆ pulses should be short. According to them, cycle times of very short or very long duration do not exploit the benefits of lean/rich cycling. For shorter cycle times, the timeaveraged conversion approaches a steady-state conversion as if the lean and rich streams had been premixed; for much

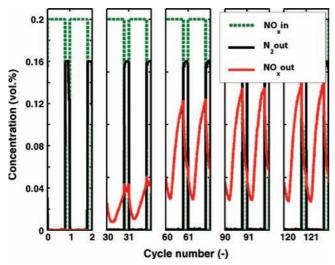


Figure 31. Outlet NO_x and N_2 concentrations during lean/rich cycling starting with a pretreated catalyst. Selected cycles out of a series of 200 cycles are shown. Lean: 0.2 vol % NO, 4 vol % O₂, and 1 vol % Ar in He, 60 s. Rich: 0.8 vol % H2 and 1 vol % Ar in He, 15 s. T = 350 °C. Reprinted with permission from ref 337. Copyright 2007 Elsevier B.V.

longer cycle times, the time-averaged conversion is a weighted average (by duty fraction) of the steady-state conversions obtained with the lean and rich feeds. Figure 31 shows selected NO_x storage and reduction data out of a series of 200 cycles with a lean flow of 60 s and a rich flow of 15 s at 350 °C. During the catalyst regeneration period, N_2 formation and NO_x desorption were observed. From the N balance per complete cycle, it was observed that NO_x stored during each lean period was not fully reduced during the subsequent rich period and consequently nitrates accumulated in the Pt-Ba/Al₂O₃ and no steady state was reached. It was found that lean/rich timing of 240 s/60 s reached the steady state immediately, whereas lean/rich timing of 120 s/30 s and 60 s/15 s took 24 and 120 cycles, respectively, to achieve the steady state.337

An experimental technique known as spatially resolved capillary-inlet mass spectrometry (SpaciMS) to observe the evolution of multiple species inside the channels of a monolithic NSR catalyst has made a striking consideration. 286,355,371,372 A minimally invasive capillary inlet system was employed to transport time-varying species pools to the mass spectrometer for analysis. The capillary probe was introduced from the reactor inlet and positioned at one of the five reactor locations along the 9.2-cm-long core (catalyst-inlet, $\frac{1}{4}$ length, $\frac{1}{2}$ length, $\frac{3}{4}$ length, catalyst outlet). Figure 32 shows NO_x breakthrough profiles for a representative 56-s storage/4-s regeneration cycle. During the lean phase, major NO_x uptake occurred in the first ¹/₄ of the catalyst bed with some NO_x slip to the downstream locations. Residual NO_x of 15–20 ppm passed through the second $\frac{1}{2}$ of the catalyst without measurable storage. Upon switching to the regeneration gas stream, a NO_x pulse appeared over the first 2 s of the 4-s regeneration. The amplitude of this pulse was largest at the 1/4 axial position and decreased continually until the $^{3}/_{4}$ position.

In a spatial- and time-resolved study, temperature distributions over a Pt-Ba/Al₂O₃ catalyst using infrared thermography showed that there was more nitrate formation at upstream positions relative to downstream, or from front to back of the catalyst, with short trapping times. However, as more NO_x was trapped on the catalyst during longer trapping

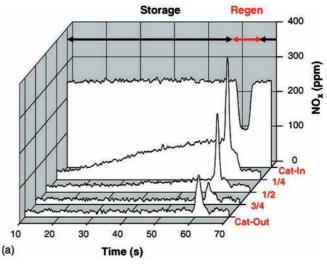


Figure 32. NO_x removal performance of a 3-in.-long Pt $-K/Al_2O_3$ washcoated monolith during 54-s storage/4-s regeneration cycling. Storage gas: 250 ppm NO, 8% O₂, 5% H₂O, and N₂ balance. Regeneration gas: 4% CO, 1% O₂, 5% H₂O, and N₂ balance. Catalyst midbed T = 430 °C. NO_x breakthrough profiles at different axial locations obtained by SpaciMS. Reprinted with permission from ref 372. Copyright 2006 Elsevier B.V.

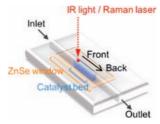


Figure 33. Schematic illustration of the cell, applicable to spaceand time-resolved DRIFTS-Raman experiments. Reprinted with permission from ref 374. Copyright 2008 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim.

times, it was observed that the largest amount of NO_x was trapped slightly downstream of the inlet.³⁷³ A combined DRIFTS-Raman approach elucidated the position-dependent bulk utilization of the storage component, which greatly affects the efficiency of the NO_x storage process. Using a specially designed reactor setup (Figure 33), Urakawa et al. observed that the formation of nitrites and nitrates was significantly delayed and the band intensities decreased considerably, moving from the front to the back position of the catalyst bed.³⁷⁴ The nitrite band was detected immediately at the front position but delayed by 70 and 120 s at the middle and back positions, respectively, as shown by the DRIFT spectra in Figure 34. Conversely, nitrates were formed from the beginning of lean periods, independently of the bed position, because of more complete NO oxidation to NO₂ over Pt toward the end of the bed (back position). During the rich period, nitrites were first reduced or desorbed from the surface and then the reduction of nitrates followed, as clearly indicated by a significant delay of the corresponding signals at the middle and back positions.

In conclusion, we can state that the results emerging from the various studies performed with different reactor types as well as from the time- and space-resolved spectroscopic studies clearly indicate that the integral consideration of an NSR reactor performance can lead to superficial conclusions as regards structure—activity relationships due to significant structural and temperature gradients which can occur in an

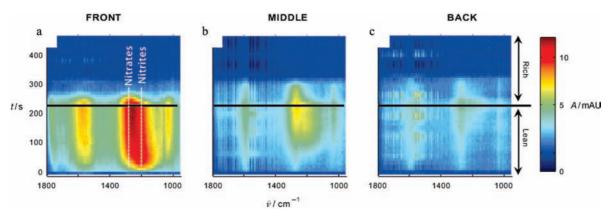


Figure 34. Time-resolved DRIFT spectra during NSR at the front, middle, and back positions of the catalyst bed. The catalyst was first activated by a number of (minimum of 8) lean (0.42 vol % NO, 3.3 vol % O₂, balance He) and rich (3.3 vol % H₂, balance He) cycles, to attain steady-state (stable-response) conditions at 350 °C. Reprinted with permission from ref 374. Copyright 2008 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim.

integral reactor setup during lean/reach cycling. Thus, timeand space-resolved information is required for a comprehensive understanding of such reactors.

8. Conclusions and Outlook

Since the introduction of the NO_x storage-reduction (NSR) concept in 1995 by Toyota until today, many investigations have been carried out in the pursuit to gain a better understanding of the NSR mechanism and in the search for more efficient materials and improved engine control for the NO_x abatement. In this review we first addressed the mechanistic aspects of the NSR, followed by concentrating on decisive investigations of the structural and morphological aspects of the catalyst on the reaction mechanism and involvement of the precious metals, alkali/alkaline earth metals, and supporting oxides and their promoting role in storage and reduction steps. We dealt with the different catalyst synthesis methods and the reactor configurations used in research and technical applications and their influence on NSR performance. The ongoing research on theoretical calculations, kinetic modeling, and surface science studies to probe the NSR mechanism has also been addressed. Important facets characterizing the state of the art of NSR research may be summarized as follows:

(i) Although there is a wide general agreement concerning the overall NSR mechanism consisting of primarily five steps, diversified and sometimes contradictory results also have been reported mainly due to different experimental conditions used by the various researchers, such as different lean and regeneration periods, different reducing gas, different catalyst preparation and pretreatment, and different mass- and heattransfer conditions (different reactors). The Langmuir—Hinshelwood or the Eley-Rideal mechanism; which is the pathway for NO oxidation, is still under debate. The NO_x sorption, on the other hand, is a sequential process (initially nitrite and eventually nitrate formation), which still does not clearly state the nature of the Ba species or does not account for the NO_x slip during the storage process. The NO_x reduction mechanism and the product selectivity under rich conditions are dependent on the reductants. NH₃, which is recently believed to be an intermediate reductant, has not been universally observed and accepted by the researchers.

(ii) The noble metal Pt is widely used by the researchers for the oxidation of NO and the subsequent reduction of the stored NO_x. However, the nature of the Pt particles, the loading, the dispersion, the size and the morphology, and

the metal-support effect influencing the catalytic activity are still a matter of debate. There is still no conclusive proof about the optimum loading, shape, and dispersion of Pt particles for the best catalytic activity. Investigations have been carried out with other noble metals, such as Pd and Rh, to find out the appropriate one, and still the question remains whether the combination of more than one metal performs better? Furthermore, the potential of metal alloys needs to be explored.

(iii) The storage component best investigated and commonly used is Ba; however, the nature of the storage component is still ill-defined. According to some researchers, BaCO₃ is the dominant storage component under real conditions, whereas some others claim that BaO, due to its higher basicity, should be a more potential storage component than BaCO₃. Researchers revealed that the proximity of Ba and the noble metal is important in the storage process; however, systematic investigations are still lacking regarding this aspect. Overall, it is accepted that BaAl₂O₄ is formed at higher temperature during NSR operation, although the exact role of BaAl₂O₄ is still unknown. Storage materials, other than Ba, such as K, Mg, and Ca, showed promising performance in the storage step and less sulfur poisoning, which needs to be explored more profoundly.

(iv) Besides stabilizing the dispersed noble metal particles, the support material can also affect the oxidation state of the noble metal and the spillover process, which in turn has an effect on the oxidation, storage, and regeneration (reduction) steps and thus overall performance of the NSR catalyst. For Ba-based lean traps the NO_x storage capacity seems to follow the basicity of the oxide support, while the rate of NO_x reduction tends to decrease with stronger basicity of the support. An open question is the role of the support in the spillover processes occurring in the NSR system. Furthermore, for a deeper understanding of the surface processes during NSR, the structure and the chemistry of the interfaces of the support with catalytic (noble metals) and storage components (alkali and alkaline earth metals) need to be investigated more thoroughly, particularly under dynamic conditions.

(v) A wide variety of promoters have been used for betterment of NSR performance, which act either as chemical or as structural promoters. The latter enhance the lifetime by increasing the stability of the dispersed noble metal, storage components, and support. Systematic studies on the role of promoters are still scarce and may provide an interesting potential for further improvement of NSR catalysts. (vi) Various synthetic methods of preparing the NSR catalysts have been approached in the literature. Wetimpregnation is the most popular method of synthesis; however, there are plenty of investigations which report that sol—gel synthesis and flame spray pyrolysis have beneficial effects over wet-impregnation synthesis.

(vii) Several inconsistencies in the literature are due to the fact that inappropriate reaction conditions have been used in the investigations. In real engine exhaust gas, the presence of H_2 , CO, hydrocarbons, H_2O , and CO_2 in the rich phase can vary depending on the engine operating conditions, whereas model synthetic gas mixtures as mostly used in laboratory scale investigations are normally simpler. This discrepancy of real exhaust to the model gas mixtures sometimes causes considerable uncertainty concerning the practical conclusions which can be drawn from the experiments. The exact roles played by CO_2 and H_2O in the mechanism and for the activity are still underdeveloped. Systematic investigations are needed to elucidate the exact role played by the CO_2 and H_2O .

(viii) Laboratory scale reactor or practical aftertreatment technologies are a separate branch of research for NSR catalysis. Different kinds of reactors and experimental techniques, such as TAP reactor, spatiotemporal product analysis, time- and space-resolved spectroscopic studies, and high throughput experimentation significantly enhanced the present knowledge about NSR. However, a great variety of experimental conditions often renders drawing of general conclusions difficult. A new impetus for gaining a better understanding of the different surface processes of NSR may come from pertinent surface science studies, as recent investigations indicate.

(ix) Special care has to be taken when extracting structure—performance relationships from integral reactor types due to large gradients in structure and temperature which can occur along the catalyst bed, as recent space- and time-resolved spectroscopic investigations revealed.

(x) The rapid development of theoretical calculations is gaining importance in NSR research as a potential tool for supporting experimental results and for giving answers to fundamental questions which are difficult or impossible to access by experimental research. Furthermore, the development of global kinetic models considering the interplay between chemical processes and mass and heat transfer may provide a solid basis for optimizing the overall efficiency of technical storage—reduction systems.

An overall conclusion emerging from this survey is that the conventional $Pt-Ba/Al_2O_3$ catalyst which has been used for so long needs to be improved using a more potential catalyst, which allows NO_x removal at low temperatures (<150 °C) and can cope with undesired input components such as SO_2 , CO_2 , and H_2O . It remains a challenge to find new more efficient materials which are specifically designed for the various functions needed for NO_x removal. A better understanding of the mechanism of NSR gained by *in situ* characterization may help in pursuing this aim.

Finally, it has to be stressed that only an integrated approach, including the development of new efficient materials as well as proper engine control strategies and reaction engineering, will allow us to meet future increasingly stringent emission regulations.

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